



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE BEGINNING OF ADMINISTRATIVE FINE CASE # 2543

DATE SCANNED 1-25-13

SCANNER NO. 2

SCAN OPERATOR AmN

13092682056



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2012 SEP 11 PM 1:12

September 11, 2012

MEMORANDUM

SENSITIVE

TO: The Commission

THROUGH: Alec Palmer *AP*
Staff Director

FROM: Patricia C. Orrock *PCO*
Chief Compliance Officer

Debbie Chacona *DC*
Assistant Staff Director
Reports Analysis Division

BY: *JW* Jodi Winship/Sari Pickerall *JP*
Compliance Branch

SUBJECT: Reason To Believe Recommendation – 2012 July Quarterly Report for the
Administrative Fine Program

Attached is a list of political committees and their treasurers who failed to file the 2012 July Quarterly Report in accordance with 2 U.S.C. 434(a). The 2012 July Quarterly Report was due on July 15, 2012.

The committees listed in the attached RTB Circulation Report either failed to file the report, no more than thirty (30) days after the due date (considered a late filed report), or filed the report more than thirty (30) days after the due date (considered a non-filed report). In accordance with the schedule of civil money penalties for reports at 11 CFR 111.43, these committees should be assessed the civil money penalties highlighted on the attached circulation report.

Recommendation

1. Find reason to believe that the political committees and their treasurers listed on the RTB Circulation Report violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.
2. Send the appropriate letters.

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Federal Election Commission
Reason to Believe Circulation Report
2012 JULY QUARTERLY Not Election Sensitive 07/15/2012 H_S_P_UNAUTH

| AF# | Committee ID | Committee Name | Candidate Name | Treasurer | Threshold | PV | Receipt Date | Days Late | LOA | RTB Penalty |
|------|--------------|--|------------------|--------------------|-----------|----|--------------|-----------|----------|-------------|
| 2538 | C00506295 | BICKNELL FOR CONGRESS | BICKNELL, HUNTER | JAMES R. SHAW, JR. | \$121,335 | 0 | 8/3/2012 | 19 | \$34,201 | \$580 |
| 2539 | C00020453 | CASS COUNTY REPUBLICAN CENTRAL COMMITTEE | | TONY P. KEY | \$100,231 | 1 | 8/9/2012 | 25 | \$8,064 | \$225 |

| | | | | | | | | | | |
|------|-----------|----------------------------|------------------------|------------------------|-------------|---|-----------|----|-----------|---------|
| 2541 | C00500173 | DAVID MCINTOSH FOR INDIANA | MCINTOSH, DAVID MARTIN | JACKIE M. BENNETT, JR. | \$1,733,077 | 0 | 7/31/2012 | 16 | \$575,917 | \$6,500 |
|------|-----------|----------------------------|------------------------|------------------------|-------------|---|-----------|----|-----------|---------|

| | | | | | | | | | | |
|------|-----------|-------------------------|--------------------------|------------------|-----------|---|--|-----------|-----------------|---------|
| 2543 | C00505628 | FRIENDS OF CHRIS GARNER | GARNER, CHRISTOPHER JOHN | BARBARA S. SMALL | \$120,085 | 0 | | Not Filed | \$120,085 (est) | \$4,950 |
|------|-----------|-------------------------|--------------------------|------------------|-----------|---|--|-----------|-----------------|---------|

| | | | | | | | | | | |
|------|-----------|-----------------------|---------------|---------------------------|-----------|---|--|-----------|----------------|-------|
| 2548 | C00500827 | JOHN LEE FOR CONGRESS | LEE, JOHN JAY | RYANN PATRICK-SHELL JUDEN | \$138,309 | 0 | | Not Filed | \$46,103 (est) | \$990 |
|------|-----------|-----------------------|---------------|---------------------------|-----------|---|--|-----------|----------------|-------|

| | | | | | | | | | | |
|------|-----------|--|--|------------------------|-----------|---|-----------|-----------|----------|-------|
| 2551 | C00293100 | NAADAC THE ASSOCIATION FOR ADDICTION PROFESSIONALS | | ALVIN FELICIANO | \$103,032 | 0 | 8/23/2012 | Not Filed | \$14,149 | \$550 |
| 2552 | C00413567 | NATIONAL ASSOCIATION OF FARM SERVICE AGENCY COUNTY OFFICE EMPLOYEES INC PPC AKA NASCOE PAC | | ROBERT L. REDDING, JR. | \$154,216 | 0 | 8/8/2012 | 24 | \$33,826 | \$680 |
| 2553 | C00192849 | PLUMBERS AND PIPEFITTERS LOCAL UNION 74 | | MARK R. PERMAR | \$109,945 | 1 | 8/2/2012 | 18 | \$15,813 | \$250 |

| | | | | | | | | | | |
|------|-----------|---------------------------|-------------|-----------|-----------|---|--|-----------|----------------|---------|
| 2556 | C00467761 | SUE LOWDEN FOR U S SENATE | LOWDEN, SUE | BOB BEERS | \$273,273 | 2 | | Not Filed | \$54,655 (est) | \$4,455 |
|------|-----------|---------------------------|-------------|-----------|-----------|---|--|-----------|----------------|---------|

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9/11/2012 12:59 PM

| AF# | Committee ID | Committee Name | Candidate Name | Treasurer | Threshold | PV | Receipt Date | Days Late | LOA | RTB Penalty |
|------|--------------|-----------------|------------------|---------------|-----------|----|--------------|-----------|----------------|-------------|
| 2560 | C00430686 | WEBB FOR SENATE | WEBB, JAMES H JR | INGRID MORROY | \$257,207 | 0 | | Not Filed | \$51,441 (est) | \$2,970 |

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Reason To Believe Recommendation - 2012)
July Quarterly Report for the)
Administrative Fine Program:)
BICKNELL FOR CONGRESS, and) AF# 2538
JAMES R SHAW JR as treasurer;)
CASS COUNTY REPUBLICAN) AF# 2539
CENTRAL COMMITTEE, and TONY P)
KEY as treasurer;)

DAVID MCINTOSH FOR INDIANA, and) AF# 2541
JACKIE M BENNETT JR as treasurer;)

FRIENDS OF CHRIS GARNER, and) AF# 2543
BARBARA S SMALL as treasurer;)

JOHN LEE FOR CONGRESS, and) AF# 2548
JUDEN, RYANN PATRICK-SHELL as)
treasurer;)

NAADAC THE ASSOCIATION FOR) AF# 2551
ADDICTION PROFESSIONALS, and)
ALVIN FELICIANO as treasurer;)

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Federal Election Commission
Certification for Administrative Fines
September 12, 2012

Page 2

NATIONAL ASSOCIATION OF FARM) AF# 2552
SERVICE AGENCY COUNTY OFFICE)
EMPLOYEES INC PPC AKA NASCOE)
PAC, and ROBERT L REDDING JR as)
treasurer;)
PLUMBERS AND PIPEFITTERS LOCAL) AF# 2553
UNION 74, and MR MARK R PERMAR as)
treasurer;)

SUE LOWDEN FOR U S SENATE, and) AF# 2556
BOB BEERS as treasurer;)

WEBB FOR SENATE, and INGRID) AF# 2560
MORROY as treasurer;)

CERTIFICATION

I, Shelley E. Garr, Deputy Secretary of the Federal Election Commission, do hereby certify that on September 12, 2012 the Commission took the following actions on the Reason To Believe Recommendation - 2012 July Quarterly Report for the Administrative Fine Program as recommended in the Reports Analysis Division's Memorandum dated September 11, 2012, on the following committees:

13092682061

AF#2538 Decided by a vote of 6-0 to: (1) find reason to believe that BICKNELL FOR CONGRESS, and JAMES R SHAW JR as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2539 Decided by a vote of 6-0 to: (1) find reason to believe that CASS COUNTY REPUBLICAN CENTRAL COMMITTEE, and TONY P KEY as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2541 Decided by a vote of 6-0 to: (1) find reason to believe that DAVID MCINTOSH FOR INDIANA, and JACKIE M BENNETT JR as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2543 Decided by a vote of 6-0 to: (1) find reason to believe that FRIENDS OF CHRIS GARNER, and BARBARA S SMALL as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

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AF#2548 Decided by a vote of 6-0 to: (1) find reason to believe that JOHN LEE FOR CONGRESS, and JUDEN, RYANN PATRICK-SHELL as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2551 Decided by a vote of 6-0 to: (1) find reason to believe that NAADAC THE ASSOCIATION FOR ADDICTION PROFESSIONALS, and ALVIN FELICIANO as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate

letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2552 Decided by a vote of 6-0 to: (1) find reason to believe that NATIONAL ASSOCIATION OF FARM SERVICE AGENCY COUNTY OFFICE EMPLOYEES INC PPC AKA NASCOE PAC, and ROBERT L REDDING JR as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2553 Decided by a vote of 6-0 to: (1) find reason to believe that PLUMBERS AND PIPEFITTERS LOCAL UNION 74, and MR MARK R PERMAR as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2556 Decided by a vote of 6-0 to: (1) find reason to believe that SUE LOWDEN FOR U S SENATE, and BOB BEERS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

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AF#2560 Decided by a vote of 6-0 to: (1) find reason to believe that WEBB FOR SENATE, and INGRID MORROY as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

September 13, 2012
Date

Shelley E. Garr
Shelley E. Garr
Deputy Secretary of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 13, 2012

Barbara S. Small, in official capacity as Treasurer
Friends of Chris Garner
550M Ritchie Hwy, #123
Severna Park, MD 21146

C00505628

AF#: 2543

Dear Ms. Small:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file a July Quarterly Report of Receipts and Disbursements every calendar year. This report, covering the period through June 30th, shall be filed no later than July 15th. 2 U.S.C. § 434(a). Records at the Federal Election Commission ("FEC") indicate that this report was not filed within thirty (30) days of the due date. You should file this report if you have not already done so.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On September 12, 2012, the FEC found that there is reason to believe ("RTB") that Friends of Chris Garner and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) by failing to file timely this report on or before July 15th. Based on the FEC's schedules of civil money penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$4,950. Please see the attached copy of the Commission's administrative fine regulations at 11 CFR §§ 111.30-111.55. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See <http://www.fec.gov/af/af.shtml>. 11 CFR § 111.34. Your payment of \$4,950 is due within forty (40) days of the finding, or by October 22, 2012, and is based on these factors:

Sensitivity of Report: Not Election Sensitive

Level of Activity: \$120,085

Number of Days Late: Not Filed

Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

1. If You Chose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your committee's identification number, to the FEC's Office of Administrative Review, 999 E Street,

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NW, Washington, DC 20463. Your response must be received within forty (40) days of the Commission's RTB finding, or October 22, 2012. 11 CFR § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 CFR § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 CFR § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. *Id.* Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 CFR § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 CFR § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 CFR § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Friends of Chris Garner and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 *et seq.* The FEC may take any and all appropriate actions authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 CFR § 111.51(a)(2).

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3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 CFR § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <http://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact Sari Pickerall in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,

Carole C. Hunter

Caroline C. Hunter
Chair

13092682068

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at RTE is \$4,950 for the 2012 July Quarterly Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The remittance and your payment are due by October 22, 2012. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Friends of Chris Garner

FEC ID#: C00505628

AF#: 2543

PAYMENT DUE DATE: October 22, 2012

PAYMENT AMOUNT DUE: \$4,950

13092682069

**FEDERAL OFFICE OF
ADMINISTRATIVE REVIEW**

2012 SEP 28 PM 3: 21

**Friends of Chris Garner for Senate
Barbara S. Small, Treasurer
550-M Ritchie Hwy, #123
Severna Park, MD 21146-2953**

RECEIVED
2012 SEP 28 AM 10: 31
FEC MAIL CENTER

September 19, 2012

Federal Election Commission
Office of Administrative Review
999 E Street, NW
Washington, DC 20463

Attention: Carolina Cavano
Caroline Hunter
Sari Pickcrall

Subject: Committee ID FEC C00505628

Since the above referenced people have sent letters or emails, I am responding to all of the above senders. My candidate lost the primary election and as such we completed the 331-Report checking that the report be the final termination report. In that report, we listed that Chris Garner, our candidate, had lent the committee \$59,942.00 and \$11,491.00 was repaid. The remaining balance of \$48,451.00 was forgiven and a letter was attached. We have also attached a new letter. All of this money was from Mr. Garner's personal funds. As you can see from the reports (attached), Mr. Garner collected only \$100.00 in campaign contributions. The rest of the money was provided by Mr. Garner's personal funds. There has been no additional contributions, loans, etc. or expenditures since the 3/31/12 final statement. All additional information about my candidate can be located in his original application.

I received a letter dated September 13, 2012 in which a penalty of \$4,950.00 was assessed. I ask that this penalty be abated based on the above circumstances.

Please find enclosed your letter dated July 31, 2012 asking for the July report as well as our September 1, 2012 reply, which includes an additional letter forgiving the loan from Chris Garner. In addition, please find a copy of the email dated August 27, 2012 in which you requested a schedule A to support the loan from Chris Garner and the recognition that it was from personal funds. We have included the April termination report and added Mr. Garner's loan to schedule A. We have also enclosed your September 13, 2012 letter in which you assessed a penalty which we again request be abated.

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If there are any questions or any other information that you need, please call my husband Ronald E. Small, CPA at

Very Truly Yours,



Barbara S. Small
Treasurer, Friends of Chris Garner for Senate

Enclosures

13092682071



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Exh. C. T. A. Barb's
copy
file

July 31, 2012

RQ-7

BARBARA S SMALL, TREASURER
FRIENDS OF CHRIS GARNER
590M RITCHIE HWY #123
SEVERNA PARK, MD 211462953

IDENTIFICATION NUMBER: C00505628

REFERENCE: JULY QUARTERLY REPORT 4/1/2012 - 6/30/2012

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C. 20463 FOR HOUSE CANDIDATES, OR THE SECRETARY OF THE SENATE, 232 HART SENATE OFFICE BUILDING, WASHINGTON, D.C. 20510 (MAILING ADDRESS: OFFICE OF PUBLIC RECORDS, P.O. BOX 77578, WASHINGTON, DC 20013-7578), FOR SENATE CANDIDATES. PLEASE NOTE THAT ELECTRONIC FILERS MUST SUBMIT THEIR REPORTS ELECTRONICALLY, AS PER 11 CFR §194.18. A COPY OF THE REPORT MUST ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER UNLESS THE STATE IS EXEMPT FROM THE FEDERAL REQUIREMENT TO RECEIVE AND MAINTAIN PAPER COPIES. YOU CAN VERIFY THE COMMISSION'S RECEIPT OF ANY DOCUMENTS SUBMITTED BY YOUR COMMITTEE ON THE FEC WEBSITE AT WWW.FEC.GOV.

THE FAILURE TO TIMELY FILE THIS REPORT MAY RESULT IN CIVIL MONEY PENALTIES, AN AUDIT OR LEGAL ENFORCEMENT ACTION. THE CIVIL MONEY PENALTY CALCULATION FOR LATE REPORTS DOES NOT INCLUDE A GRACE PERIOD AND BEGINS ON THE DAY FOLLOWING THE DUE DATE FOR THE REPORT. DUE TO HEIGHTENED SECURITY SCREENING MEASURES, DELIVERY OF MAIL BY THE US POSTAL SERVICE MAY BE DELAYED. THE COMMISSION RECOMMENDS THAT YOU SUBMIT YOUR REPORT VIA OVERNIGHT DELIVERY OR COURIER SERVICE.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT MARLENE COLUCCI AT OUR TOLL FREE NUMBER (800)424-9530. OUR DIRECT LOCAL NUMBER IS (202)694-1130.

SINCERELY,

Debbie Chacona

DEBBIE CHACONA
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION (RAD)

The campaign with
a-o funds
closed with
of

13092682072

September 1, 2012

Federal Election Commission
Secretary of the Senate
232 Hart senate Office Building
Washington, D.C. 20510

Attention: Debbie Chacona
Assistant Staff Director
Reports Analysis Division

Identification Number: C00505628


Reference: July Quarterly Report 4/1/2012-6/30/2012

Dear Ms. Chacona

Please find the enclosed copy of our final election committee report for "Friends of Chris Garner for Senate" as well as an 8/31/2012 Letter of forgiveness for the money advanced to the committee

Note only a \$100.00 contribution was received all other money was advanced by Chris Garner and as the attached letter states this loan has been forgiven.

If there is any other information necessary please let me know.



Barbara Small

Treasurer

Friends of Chris Garner for Senate

13092682073

August 31, 2012

From: Christopher Garner
1602 Father Urban Lane
Annapolis, Maryland 21409

To: Barbara Small
Treasurer *Garner for Senate* Campaign

This note is to document the source of the Funds used during the *Garner for Senate* campaign 2012.
These funds came directly from Christopher Garner (me) as a loan to the campaign. I restate also that
the loan is forgiven by me.

Thank You for your Help

Sincerely



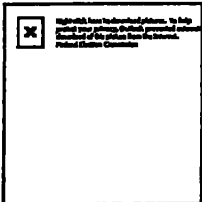
Christopher Garner

13092682074

Exh. 6.7 B

Chris Garner

From: Federal Election Commission-(RAD) <rad@fec.gov>
Sent: Monday, August 27, 2012 12:01 PM
To: CHRISGARNER@GARNERFORSENATE.COM
Subject: Important: Request for Additional Information from the Federal Election Commission



Federal Election Commission

AUG 27, 2012

Dear Treasurer/Filer,

This e-mail is being sent by the Reports Analysis Division (RAD) of the Federal Election Commission to request additional information on a report(s) or statement filed by your committee or organization. Please note that this is an automated e-mail; any response to this request for information should not be made via e-mail, as your response will not be accepted. To view the Request for Additional Information (RFAI), simply click [here](#).

If the above link does not work, you can access the letter by copying and pasting this address into the address field of your browser:

<http://fec.gov/fecletter/getLetter.do?a=1&b=12330011431&c=RAD201272798097>

To ensure delivery, add rad@fec.gov to your address book.

To update your e-mail address, please file an amended Statement of Organization (FEC Form 1).

If you would prefer to receive RFAIs on paper, please file a miscellaneous document (Form 99 for electronic filers), to indicate this preference.

If you would like to view all of your filings, simply click [here](#).

For filing information, please go to <http://www.fec.gov/info/filing.shtml>

If you have any questions, please contact your Campaign Finance Analyst on our toll-free number (800) 424-9630 (at the prompt press 5 to reach the Reports Analysis Division) or our local number (202) 694-1130.

Sincerely,

Federal Election Commission (FEC)

This email was sent to: CHRISGARNER@GARNERFORSENATE.COM

Your Committee ID at FEC: C00505628

FEC-RAD201272798097

This e-mail is intended only for the use of the committee/filer designated as recipient.



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

August 24, 2012

BARBARA S. SMALL, TREASURER
FRIENDS OF CHRIS GARNER
550M RITCHIE HWY #123
SEVERNA PARK, MD 21146-2953

Response Due Date
09/28/2012

IDENTIFICATION NUMBER: C00505628

REFERENCE: AMENDED TERMINATION REPORT (01/01/2012 - 03/31/2012),
RECEIVED 04/14/2012

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **An adequate response must be received at the Senate Public Records Office by the response date noted above. Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 3 item(s):

1. Your committee has requested that the Commission permit your committee to terminate pursuant to 2 U.S.C. § 433(d) and 11 CFR § 102.3. In order to terminate your committee, you must file an amendment to correct these problems on your Termination Report. Once the FEC receives and approves the corrections to your report, it will notify you. Until that time, you must continue to file all required reports.
2. Please provide a Schedule A to support the amount reported on Line 13(a) of the Detailed Summary Page. Each person who makes a loan to your committee, or to the candidate acting as an agent of the committee, must be itemized on Schedule A and Schedule C. The itemization on Schedule A must include the person's full name, mailing address and zip code, along with the name of his/her employer, his/her occupation, the date of the contribution/loan and the election cycle-to-date amount of contributions made by the person. Schedule C must include any endorser or guarantor of the loan, the date the loan was made and all other terms of the loan. If the loan is from the candidate, you must indicate whether it is from his/her personal funds, or was obtained by the candidate from a bank loan, brokerage account, credit card, home equity line of credit or other line of credit. (2 U.S.C. §434(b)(3)(E) and 11 CFR § 104.3(a)(4)(iv))

13092682076

FRIENDS OF CHRIS GARNER

Page 2 of 3

3. When a committee reports receiving a loan from the candidate, it is necessary to clarify whether or not the candidate used personal funds or borrowed the money from a lending institution or some other source. Please amend your report to indicate whether the loan is from the candidate's personal funds or if he/she obtained the loan from a bank loan, brokerage account, credit card, home equity line of credit, or other line of credit.

If the candidate loan was obtained from a lending institution, you must also file a Schedule C-1 with the Commission, providing the name of the lending institution and the complete terms of the loan between the candidate and the lending institution. Schedule C-1 can be downloaded from the FEC website at <http://www.fec.gov>, or requested through the FEC Faxline at (202) 501-3413. Electronic filers must submit the Schedule C-1 electronically.

If the loan was from personal funds, please acknowledge that fact in an amendment to this report. It is important to note that "personal funds" is strictly defined by Commission Regulations. See 11 CFR §100.33.

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. Requests for extensions of time in which to respond will not be considered.

A written response or an amendment to your original report(s) correcting the above problems should be filed with the Senate Public Records Office. Please contact the Senate Public Records Office at (202) 224-0322 for instructions on how and where to file an amendment. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1138.

13092682077

FRIENDS OF CHRIS GARNER

Page 3 of 3

Sincerely,

A handwritten signature in black ink, appearing to read 'Carolina Cavano', with a stylized, cursive script.

Carolina Cavano
Campaign Finance Analyst
Reports Analysis Division

427

13092682078

Federal Election Commission
Senate Office of Public Records
232 Hart Senate Office Building
Washington DC
20510

April 14, 2012

Federal Election Commission,

This is the Signed Copy of the Federal Election Commission Report for Senate candidate, Chris Garner.

I believe I mistakenly placed my unsigned copy of this report in the mail on April 13, 2012 to the Federal Election Commission.

Barbara Small
Treasurer
Friends of Chris Garner for Senate

EXHIBIT C
3/31/12
Report
"as amended"

13092682079

Chris Garner
1195 Baltimore Annapolis Blvd
Arnold, MD 21012

TO: Friends of Chris Garner for Senate

I hereby forgive my loan to the committee, which has a balance of
\$48,451.00



Chris Garner

13092682080

**FEC
FORM 3**

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

FRIENDS OF CHRIS GARNER FOR SENATE

ADDRESS (number and street)

465 CENTURY VISTA DR.



Check if different than previously reported. (ACC)

ARNOOLA

MD

210121

2. FEC IDENTIFICATION NUMBER ▼

C 00505628

CITY ▲

STATE ▲

ZIP CODE ▲
STATE ▼ DISTRICT

3. IS THIS REPORT



NEW (N)

OR



AMENDED (A)

MD

91

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:



April 15 Quarterly Report (Q1)



July 15 Quarterly Report (Q2)



October 15 Quarterly Report (Q3)



January 31 Year-End Report (YE)



Termination Report (TER) ★★

(b) 12-Day PRE-Election Report for the:



Primary (12P)



General (12G)



Runoff (12R)



Convention (12C)



Special (12S)

Election on

MM / DD / YYYY

MM / DD / YYYY

MM / DD / YYYY

in the State of

MM / DD / YYYY

(c) 30-Day POST-Election Report for the:



General (30G)



Runoff (30R)



Special (30S)

Election on

MM / DD / YYYY

MM / DD / YYYY

MM / DD / YYYY

in the State of

MM / DD / YYYY

5. Covering Period

MM / DD / YYYY

MM / DD / YYYY

MM / DD / YYYY

through

MM / DD / YYYY

MM / DD / YYYY

MM / DD / YYYY

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

BARBARA SMALL

Signature of Treasurer

Barbara S Small

Date

MM / DD / YYYY

MM / DD / YYYY

MM / DD / YYYY

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only

FEC FORM 3
(Revised 02/2003)

13092682081

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

| | | | | | |
|---|------------------------------|------------------------------|------------------------------|----|--|
| FOR LINE NUMBER: | | PAGE | | OF | |
| (check only one) | | | | | |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 11d | | |
| 12 | 13a | 13b | 14 | 15 | |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

FRIENDS OF CHRIS GARNER FOR SENATE

Full Name (Last, First, Middle Initial)

A. **LUZIER RONALD**

Mailing Address

City

POTOMAC

State

MD

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☒ Primary ☐ General
☐ Other (specify)

Election Cycle-to-Date

Date of Receipt

03 / 08 / 2012

Amount of Each Receipt this Period

1.00.00

Full Name (Last, First, Middle Initial)

B. **GARNER CHRIS**

Mailing Address

1195 B+A BLVD

City

ARNOLD

State

MD

Zip Code

21012

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Election Cycle-to-Date

Date of Receipt

FROM PERSONAL FUND
Amount of Each Receipt this Period

59.9420.00

LOAN

Full Name (Last, First, Middle Initial)

C. Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Election Cycle-to-Date

Date of Receipt

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)

TOTAL This Period (last page this line number only)

1.00.00

1.00.00

13092682082

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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17 18 19a 19b
20a 20b 20c 21

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NAME OF COMMITTEE (in Full)

FRIENDS OF CHRIS GARNER FOR SENATE

Full Name (Last, First, Middle Initial)

Date of Disbursement

03 ' 31 ' 2012

Amount of Each Disbursement this Period

4822

Mailing Address

ESSEX BANK
1400 RITCHIE HIGH

City

State

Zip Code

ARNOLD

MD

21012

Purpose of Disbursement

BANK FEE

001

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify)

State: *MD*

District: *01*

Full Name (Last, First, Middle Initial)

Date of Disbursement

03 ' 31 ' 2012

Amount of Each Disbursement this Period

127.99

Purpose of Disbursement

MO. CR. CARD FEE - BK. PRODUCTION

001

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify)

State: *MD*

District: *01*

Full Name (Last, First, Middle Initial)

Date of Disbursement

03 ' 31 ' 2012

Amount of Each Disbursement this Period

2160.00

Mailing Address

SPW PROPERTIES
1195 B+A BLVD

City

State

Zip Code

ARNOLD

MD

21012

Purpose of Disbursement

RENT

001

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify)

State: *MD*

District: *01*

SUBTOTAL of Disbursements This Page (optional)

21775.31

TOTAL This Period (last page this line number only)

13092682083

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (in Full)

FRIENDS OF CHRIS GARNER FOR SENATE

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM ' DD ' YYYY
03 ' 31 ' 2012

A. PENNYSAVER, INC

Mailing Address

1342 CHARWOOD RD

City

HANOVER

State

MD

Zip Code

21076

Purpose of Disbursement

ADVERTISING

0.04

Category/
Type

Candidate Name

CHRIS GARNER

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify)

State:

MD

District:

01

Full Name (Last, First, Middle Initial)

Amount of Each Disbursement this Period

11,284.00

B. EAST COUNTY TIMES

Mailing Address

513 EASTERN BLVD

City

BALTO

State

MD

Zip Code

21221

Purpose of Disbursement

ADVERTISING

0.04

Category/
Type

Candidate Name

CHRIS GARNER

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify)

State:

MD

District:

01

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM ' DD ' YYYY
03 ' 31 ' 2012

Amount of Each Disbursement this Period

514.75

C. WNAV AM 1430

Mailing Address

P.O. BOX 6726

City

ANNAPOLIS

State

MD

Zip Code

21401

Purpose of Disbursement

ADVERTISING

0.04

Category/
Type

Candidate Name

CHRIS GARNER

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify)

State:

MD

District:

01

Date of Disbursement

MM ' DD ' YYYY
03 ' 31 ' 2012

Amount of Each Disbursement this Period

504.00

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

12,302.75

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (in Full)

FRIENDS OF CHRIS GARNER FOR SENATE

Full Name (Last, First, Middle Initial)

Date of Disbursement

03 / 31 / 2012

A. WCTR BROADCASTING, LLC

Mailing Address P.O. BOX 700

City CHESTER TOWN State MD Zip Code 21620

Purpose of Disbursement ADVERTISING

004

Candidate Name CHRIS GARNER

Category/Type

Office Sought: ☒ House ☒ Senate ☐ President

Disbursement For: ☒ Primary ☐ General ☐ Other (specify)

State: MD District: 01

Full Name (Last, First, Middle Initial)

Amount of Each Disbursement this Period

2000.00

B. CBS RADIO

Mailing Address

City State Zip Code

Purpose of Disbursement ADVERTISING

004

Candidate Name CHRIS GARNER

Category/Type

Office Sought: ☒ House ☒ Senate ☐ President

Disbursement For: ☒ Primary ☐ General ☐ Other (specify)

State: MD District: 01

Full Name (Last, First, Middle Initial)

Date of Disbursement

03 / 31 / 2012

Amount of Each Disbursement this Period

3735.00

C. WTOP RADIO

Mailing Address

City State Zip Code

Purpose of Disbursement ADVERTISING

004

Candidate Name CHRIS GARNER

Category/Type

Office Sought: ☒ House ☒ Senate ☐ President

Disbursement For: ☒ Primary ☐ General ☐ Other (specify)

State: M.D. District: 01

Date of Disbursement

03 / 31 / 2012

Amount of Each Disbursement this Period

2375.00

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

6310.00

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
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(check only one)

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NAME OF COMMITTEE (in Full)

FRIENDS OF CHRIS GARNER FOR SENATE

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM/DD/YYYY
03/31/2012

A. **CLEAR CHANNEL RADIO**

Mailing Address

City State Zip Code

Purpose of Disbursement

ADVERTISING

0.04

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For:

☒ Primary ☐ General
☐ Other (specify)

State: **MD** District: **01**

Full Name (Last, First, Middle Initial)

Amount of Each Disbursement this Period

1500.00

B. **MONT. CO. CABLE ACCESS**

Mailing Address

City State Zip Code

Purpose of Disbursement

DVD TAPE

0.01

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For:

☒ Primary ☐ General
☐ Other (specify)

State: **MD** District: **01**

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM/DD/YYYY
03/31/2012

Amount of Each Disbursement this Period

20.00

C. **PGS MARKETING GROUP LLC**

Mailing Address

2534 COMMERCE BLVD

City State Zip Code

CINN OH 45241

Purpose of Disbursement

SIGNS

0.06

Candidate Name

Category/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For:

☒ Primary ☐ General
☐ Other (specify)

State: **MD** District: **01**

Date of Disbursement

MM/DD/YYYY
03/31/2012

Amount of Each Disbursement this Period

2538.56

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

4058.56

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (in Full)

FRIENDS OF CHRIS GARNER FOR SENATE

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM ' DD ' YYYY
03 ' 31 ' 2012

A. AMERICAN TECHNOLOGY CONSULTING

Mailing Address

City State Zip Code

Purpose of Disbursement

ROGO CALLS

004

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify)

State: MD

District: 01

Full Name (Last, First, Middle Initial)

Amount of Each Disbursement this Period

3,000.00

B. JEFF WHEELER

Mailing Address

City State Zip Code

Purpose of Disbursement

DOMAIN NAME - WEB

001

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify)

State: MD

District: 01

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM ' DD ' YYYY
03 ' 31 ' 2012

Amount of Each Disbursement this Period

104.08

C. E BIZ SERV

Mailing Address

75 SHADY DR.

City State Zip Code

INDIANA PA 15701

Purpose of Disbursement

WEB SITE

004

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify)

State: MD

District: 01

Date of Disbursement

MM ' DD ' YYYY
03 ' 31 ' 2012

Amount of Each Disbursement this Period

426.00

SUBTOTAL of Disbursements This Page (optional)

353.08

TOTAL This Period (last page this line number only)

13092682087

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
 for each category of the
 Detailed Summary Page

FOR LINE NUMBER:
 (check only one)

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NAME OF COMMITTEE (in Full)

FRIENDS OF CHRIS GARNER

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM ' DD ' YYYY
 03 ' 31 ' 2012

A. **BETSY EARLY**

Mailing Address

1512 RIVERDALE DR

City

ANNAPOLIS

State

MD

Zip Code

21401

Purpose of Disbursement

Graphics

0.06

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify)

State: **MD**

District: **01**

Full Name (Last, First, Middle Initial)

Amount of Each Disbursement this Period

110.00

B. **U.S. POST OFFICE**

Mailing Address

City

STANLEY ARNOLD

State

Zip Code

MD 21012

Purpose of Disbursement

STAMP / STAMPS

0.01

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify)

State: **MD**

District: **01**

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM ' DD ' YYYY
 03 ' 31 ' 2012

Amount of Each Disbursement this Period

1.530

C. **ANNAPOLIS PHOTOGRAPHER**

Mailing Address

45 OLD SOLOMONS IS RD

City

ANNAPOLIS

State

MD

Zip Code

21040

Purpose of Disbursement

Photo - APS

0.04

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify)

State: **MD**

District: **01**

Date of Disbursement

MM ' DD ' YYYY
 03 ' 31 ' 2012

Amount of Each Disbursement this Period

132.50

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

257.80

13092682088

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 17 ☐ 18 ☐ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (In Full)

FRIENDS OF CHRIS GARNER FOR SENATE

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM ' DD ' YYYY
03 ' 31 ' 2012

A. OFFICE DEPOT

Mailing Address

City: SEVERNA PARK State: MD Zip Code: 21146

Purpose of Disbursement

NAME TAGS

001

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For:

☒ Primary ☐ General
☐ Other (specify)

State: MD District: 01

Full Name (Last, First, Middle Initial)

Amount of Each Disbursement this Period

27.54

B. STATE OF MARYLAND- BD OF ELECTIONS

Mailing Address

515 WEST ST STE 200

City: ANNAPOLIS State: MD Zip Code: 21401

Purpose of Disbursement

ELECTION FILING FEE

001

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For:

☒ Primary ☐ General
☐ Other (specify)

State: MD District: 01

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM ' DD ' YYYY
03 ' 31 ' 2012

Amount of Each Disbursement this Period

290.00

C. CHRIS GARNER

Mailing Address

1195 BVA BLVD

City: ARNOLD State: MD Zip Code: 21012

Purpose of Disbursement

REPAY LOAN

009

Candidate Name

CHRIS GARNER

Category/
Type

Office Sought:

☒ House
☒ Senate
☐ President

Disbursement For:

☒ Primary ☐ General
☐ Other (specify)

State: MD District: 01

Date of Disbursement

MM ' DD ' YYYY
03 ' 31 ' 2012

Amount of Each Disbursement this Period

11491.00

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

11808.54

60,043.04

SCHEDULE C (FEC Form 3)

LOANS

Use separate schedule(s)
for each category of the
Detailed Summary Page

PAGE OF

FOR LINE NUMBER:
(check only one)

☒ 13a
☐ 13b

NAME OF COMMITTEE (In Full)

FRIENDS OF CHRIS GARNER FOR SENATE

LOAN SOURCE Full Name (Last, First, Middle Initial)

GARNER CHRIS

Election:

☒ Primary
☐ General
☐ Other (specify) ▼

Mailing Address

1195 BTA BLVD

City

State

ZIP Code

ARNOLD

MD

21012

PERSONAL FUNDS

Original Amount of Loan

Cumulative Payment To Date

Balance Outstanding at Close of This Period

59,942.00

11,491.00

48,451.00

TERMS

Date Incurred

Date Due

Interest Rate

Secured:

MM/DD/YYYY
03/15/2012

MM/DD/YYYY
04/15/2012

MM/DD/YYYY
04/15/2012

MM/DD/YYYY
04/15/2012

MM/DD/YYYY
04/15/2012

MM/DD/YYYY
04/15/2012

0% (apr)

☐ Yes ☒ No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

2. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

3. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

4. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

SUBTOTALS This Period This Page (optional)

TOTALS This Period (last page in this line only)

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

* LOAN FORGIVEN See attached 0.00

13092682090

SCHEDULE G-1 (FEC Form 3)
LOANS AND LINES OF CREDIT FROM LENDING INSTITUTIONS

Supplementary for
Information found on
Page ____ of Schedule C

Federal Election Commission, Washington, D.C. 20463

NAME OF COMMITTEE (In Full)

FEC IDENTIFICATION NUMBER

FRIEND OF CHRIS GARNER FOR SENATE

C

LENDING INSTITUTION (LENDER)

Amount of Loan

Interest Rate (APR)

Full Name

NONE

%

Mailing Address

Date Incurred or Established

MM / DD / YYYY

City

State Zip Code

Date Due

MM / DD / YYYY

A. Has loan been restructured? ☐ No ☐ Yes

If yes, date originally incurred

MM / DD / YYYY

B. If line of credit,

Amount of this Draw:

Total
Outstanding
Balance:

C. Are other parties secondarily liable for the debt incurred?

☐ No

☐ Yes

(Endorsers and guarantors must be reported on Schedule C.)

D. Are any of the following pledged as collateral for the loan: real estate, personal property, goods, negotiable instruments, certificates of deposit, chattel papers, stocks, accounts receivable, cash on deposit, or other similar traditional collateral?

☐ No

☐ Yes

If yes, specify:

What is the value of this collateral?

Does the lender have a perfected security interest in it? ☐ No ☐ Yes

E. Are any future contributions or future receipts of interest income, pledged as collateral for the loan? ☐ No ☐ Yes If yes, specify:

What is the estimated value?

A depository account must be established pursuant to 11 CFR 100.82(e)(2) and 100.142(e)(2).

Location of account:

Date account established:

MM / DD / YYYY

Address:

City, State, Zip:

F. If neither of the types of collateral described above was pledged for this loan, or if the amount pledged does not equal or exceed the loan amount, state the basis upon which this loan was made and the basis on which it assures repayment.

G. COMMITTEE TREASURER

Typed Name

Signature

DATE

MM / DD / YYYY

H. Attach a signed copy of the loan agreement.

I. TO BE SIGNED BY THE LENDING INSTITUTION:

- I. To the best of this institution's knowledge, the terms of the loan and other information regarding the extension of the loan are accurate as stated above.
- II. The loan was made on terms and conditions (including interest rate) no more favorable at the time than those imposed for similar extensions of credit to other borrowers of comparable credit worthiness.
- III. This institution is aware of the requirement that a loan must be made on a basis which assures repayment, and has complied with the requirements set forth at 11 CFR 100.82 and 100.142 in making this loan.

AUTHORIZED REPRESENTATIVE

Typed Name

Signature

Title

DATE

MM / DD / YYYY

SCHEDULE D (FEC Form 3)

DEBTS AND OBLIGATIONS

Excluding Loans

| | | |
|--|--------------------------------------|-----------------------------|
| (Use separate schedule(s) for each numbered line) | PAGE | OF |
| | FOR LINE NUMBER: (check only one) | |
| | <input type="checkbox"/> 9 | <input type="checkbox"/> 10 |

NAME OF COMMITTEE (In Full)

FRIENDS OF CHRIS GARNER FOR SENATE

A. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

NONE

City

State

Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

B. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

City

State

Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

C. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

City

State

Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

1) SUBTOTALS This Period This Page (optional)

2) TOTALS This Period (last page this line number only)

3) TOTAL OUTSTANDING LOANS from Schedule C (last page only)

4) ADD 2) and 3) and carry forward to appropriate line of Summary Page (last page only)

| |
|----------------------|
| <input type="text"/> |
| <input type="text"/> |
| <input type="text"/> |
| <input type="text"/> |

13092682092

FEC FORM 3Z (File with Form 3)

CONSOLIDATION REPORT OF RECEIPTS AND DISBURSEMENTS

(To Be Used By A Principal Campaign Committee)

| | | | | | |
|--|---|--|--|--|---|
| Name of Principal Campaign Committee (In Full) FRIENDS OF CHRIS GARNER FOR SENATE | | | | Report Covering Period: From: 01 01 2012 To: 03 31 2012 | |
| Committee Name | | | | (a) Line No. 11(a) Total Contributions From Indiv./Persons Other Than Political Committees | (b) Line No. 11(b) Total Contributions From Political Party Committees |
| A FRIENDS OF CHRIS GARNER FOR SENATE | | | | | |
| B Column Total Last Page Only..... | | | | 100 | 0 |
| (c) Line No. 11(c) Total Contributions From Other Political Committees | (d) Line No. 11(d) Total Contributions From The Candidate | (e) Line No. 11(e) Total Contributions | (f) Line No. 12 Total Transfers From Other Authorized Committees | (g) Line No. 13(a) Total Loans Made or Guaranteed by the Candidate | (h) Line No. 13(b) Total All Other Loans |
| A | | | | | |
| B | 0 | 0 | 100 | 59942 | 0 <i>From personal funds</i> |
| (i) Line No. 13(c) Total Loans | (j) Line No. 14 Total Offsets to Operating Expenditures | (k) Line No. 15 Total Other Receipts | (l) Line No. 16 Total Receipts | (m) Line No. 17 Total Operating Expenditures | (n) Line No. 18 Total Transfers to Other Authorized Committees |
| A | | | | 48552 | |
| B | 59942 | 0 | 0 | 59942 | 0 |
| (o) Line No. 19(a) Total Loan Repayments of Loans Made or Guaranteed by The Can- didate | (p) Line No. 19(b) Total Loan Repayments of All Other Loans | (q) Line No. 19(c) Total Loan Repayments | (r) Line No. 20(a) Total Contribution Refunds to Individuals/Persons | (s) Line No. 20(b) Total Contribution Refunds to Political Party Committees | (t) Line No. 20(c) Total Contribution Refunds to Other Political Committees |
| A | | | | | |
| B | 11491 | 0 | 11491 | 0 | 0 |
| (u) Line No. 20(d) Total Contribution Refunds | (v) Line No. 21 Total Other Disbursements | (w) Line No. 22 Total Disbursements | (x) Line No. 23 Cash on Hand Beginning of Reporting Period | (y) Line No. 27 Cash on Hand Close of Reporting Period | (z) Line No. 9 Debts & Obligations Owed TO the Committee |
| A | | | | | |
| B | 0 | 0 | 60043 | 0 | 0 |
| (aa) Line No. 10 Debts & Obligations Owed BY the Committee | (bb) Line No. 6(c) Net Contributions | (cc) Line No. 7(c) Net Operating Expenditures | | | |
| A | | | | | |
| B | 48451 | 100 | | | |

* **LOAN FORGIVEN**

13092682093

SUMMARY PAGE

of Receipts and Disbursements

Write or Type Committee Name

FRIENDS OF CHRIS GARNER FOR SENATE

Report Covering the Period:

From:

MM DD YYY
01 01 2012

To:

MM DD YYY
03 31 2012

COLUMN A
This Period

COLUMN B
Election Cycle-to-Date

6. Net Contributions (other than loans)

(a) Total Contributions
(other than loans) (from Line 11(e))....

100.00

100.00

(b) Total Contribution Refunds
(from Line 20(d)).....(c) Net Contributions (other than loans)
(subtract Line 6(b) from Line 6(a)).....

100.00

100.00

7. Net Operating Expenditures

(a) Total Operating Expenditures
(from Line 17).....

48,552.00

48,552.00

(b) Total Offsets to Operating
Expenditures (from Line 14).....(c) Net Operating Expenditures
(subtract Line 7(b) from Line 7(a)).....

48,552.00

48,552.00

8. Cash on Hand at Close of
Reporting Period (from Line 27).....

00

9. Debts and Obligations Owed TO
the Committee (itemize all on
Schedule C and/or Schedule D).....

00

10. Debts and Obligations Owed BY
the Committee (itemize all on
Schedule C and/or Schedule D).....

48,451.00

* FORGIVEN

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

13092682094

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3 (Revised 12/2003)

Page 3

Write or Type Committee Name

FRIENDS OF CHRIS GARNER FOR SENATE

Report Covering the Period:

From:

MM ' DD ' YYYY
01 ' 01 ' 2012

To:

MM ' DD ' YYYY
03 ' 31 ' 2012

I. RECEIPTS

COLUMN A
Total This Period

COLUMN B
Election Cycle-to-Date

11. CONTRIBUTIONS (other than loans) FROM:

(a) Individuals/Persons Other Than
Political Committees

(i) Itemized (use Schedule A).....

(ii) Unitemized

(iii) TOTAL of contributions
from individuals ▶

(b) Political Party Committees.....

(c) Other Political Committees
(such as PACs).....

(d) The Candidate

(e) TOTAL CONTRIBUTIONS
(other than loans)
(add Lines 11(a)(iii), (b), (c), and (d))..

**12. TRANSFERS FROM OTHER
AUTHORIZED COMMITTEES**

13. LOANS:

(a) Made or Guaranteed by the
Candidate.....

(b) All Other Loans.....

(c) TOTAL LOANS
(add Lines 13(a) and (b)).....

**14. OFFSETS TO OPERATING
EXPENDITURES**
(Refunds, Rebates, etc.)

15. OTHER RECEIPTS
(Dividends, Interest, etc.)

16. TOTAL RECEIPTS (add Lines
11(e), 12, 13(c), 14, and 15)
(Carry Total to Line 24, page 4)..... ▶

100.00

100.00

100.00

0

59,942.00

59,942.00

0

0

0

60,942.00

100.00

100.00

100.00

0

59,942.00

59,942.00

0

0

0

60,942.00

13092682095

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3 (Revised 02/2003)

Page 4

II. DISBURSEMENTS

COLUMN A
Total This Period

COLUMN B
Election Cycle-to-Date

17. OPERATING EXPENDITURES.....

48,552.00

48,552.00

18. TRANSFERS TO OTHER
AUTHORIZED COMMITTEES

0

0

19. LOAN REPAYMENTS:

(a) Of Loans Made or Guaranteed
by the Candidate.....

11,491.00

11,491.00

(b) Of All Other Loans

(c) TOTAL LOAN REPAYMENTS
(add Lines 19(a) and (b)).....

11,491.00

11,491.00

20. REFUNDS OF CONTRIBUTIONS TO:

(a) Individuals/Persons Other
Than Political Committees

(b) Political Party Committees.....

(c) Other Political Committees
(such as PACs).....

(d) TOTAL CONTRIBUTION REFUNDS
(add Lines 20(a), (b), and (c)).....

0

0

21. OTHER DISBURSEMENTS

22. TOTAL DISBURSEMENTS
(add Lines 17, 18, 19(c), 20(d), and 21) ►

60,043.00

60,043.00

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD.....

00

24. TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3).....

60,043.00

25. SUBTOTAL (add Line 23 and Line 24).....

60,043.00

26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22).....

60,043.00

27. CASH ON HAND AT CLOSE OF REPORTING PERIOD
(subtract Line 26 from Line 25).....

00

Exhibit D



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 13, 2012

Barbara S. Small, in official capacity as Treasurer
Friends of Chris Garner
550M Ritchie Hwy, #123
Severna Park, MD 21146

C00505628
AF#: 2543

←

Dear Ms. Small:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file a July Quarterly Report of Receipts and Disbursements every calendar year. This report, covering the period through June 30th, shall be filed no later than July 15th. 2 U.S.C. § 434(a). Records at the Federal Election Commission ("FEC") indicate that this report was not filed within thirty (30) days of the due date. You should file this report if you have not already done so.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On September 12, 2012, the FEC found that there is reason to believe ("RTB") that Friends of Chris Garner and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) by failing to file timely this report on or before July 15th. Based on the FEC's schedules of civil money penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$4,950. Please see the attached copy of the Commission's administrative fine regulations at 11 CFR §§ 111.30-111.55. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See <http://www.fec.gov/af/af.shtml>. 11 CFR § 111.34. Your payment of \$4,950 is due within forty (40) days of the finding, or by October 22, 2012, and is based on these factors:

Sensitivity of Report: Not Election Sensitive
Level of Activity: \$120,085
Number of Days Late: Not Filed
Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your committee's identification number, to the FEC's Office of Administrative Review, 999 E Street,

NW, Washington, DC 20463. Your response must be received within forty (40) days of the Commission's RTB finding, or October 22, 2012. 11 CFR § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 CFR § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 CFR § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. *Id.* Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 CFR § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 CFR § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 CFR § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Friends of Chris Garner and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 *et seq.* The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 CFR § 111.51(a)(2).

13092682098

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at RTB is \$4,950 for the 2012 July Quarterly Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The remittance and your payment are due by October 22, 2012. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Friends of Chris Garner

FEC ID#: C00505628

AF#: 2543

PAYMENT DUE DATE: October 22, 2012

PAYMENT AMOUNT DUE: \$4,950

13092682099

3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 CFR § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <http://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact Sari Pickerall in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,



Caroline C. Hunter
Chair

13092682100



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Via First Class Mail

October 2, 2012

Barbara S. Smalls, in official capacity as Treasurer
Friends of Chris Garner
550M Ritchie Hwy #123
Severna Park, MD 21146

C00505628
AF#: 2543

Dear Ms. Smalls:

On September 28, 2012, the Commission received your written response ("challenge") which is being reviewed by the Office of Administrative Review. If you have any questions regarding your challenge, please contact this Office on our toll free number (800)424-9530 (press 0, then ext. 1660) or (202)694-1660.

Sincerely,

A handwritten signature in black ink, appearing to read "Dayna C. Brown", with a large, stylized flourish at the end.

Dayna C. Brown
Reviewing Officer
Office of Administrative Review

13092682101

Date: October 2, 2012

**REFERRAL TO OFFICE OF ADMINISTRATIVE REVIEW
CHALLENGE RECEIVED**

AF#: 2543

Committee Name: Friends of Chris Garner

Committee ID#: C00505628

Committee Address (if different than in RTB letter): N/A

Treasurer Name (if different than in RTB finding): N/A

Attachments:

Copy of RTB Circulation Report, dated September 11, 2012 and RTB Certification, dated September 13, 2012 (Y/N): Y

Attachment #: 1

Proof of Delivery (to be forwarded at later date if not yet received) (Y/N): Y

Attachment #: 2

Other Relevant Telecoms (Y/N): N

Attachment #: N/A

Original Correspondence Received by RAD in Response to RTB Letter (Y/N): N

Attachment #: N/A

RAD Staff Declaration (Y/N): Y

-2012 July Quarterly Report Prior Notice, dated June 21, 2012.

-Non-Filar Letter, dated July 31, 2012.

-RTB Letter, dated September 13, 2012.

Attachment #: 4

Other RAD Information: (Y/N): N

Attachment#: N/A

13092682102



Delivery Notification

Dear Customer,

This notice serves as proof of delivery for the shipment listed below.

Tracking Number: 1Z WF5 860 A2 9481 435 7
Reference Number(s): RAD, 2543
Service: NEXT DAY AIR
Special Instructions: ADULT SIGNATURE REQUIRED
Shipped/Billed On: 09/12/2012
Delivered On: 09/14/2012 9:39 A.M.
Delivered To: 550 RITCHIE HWY
M
SEVERNA PARK, MD, US 21146
Signed By: GILL
Location: RECEIVER

Thank you for giving us this opportunity to serve you.


Sincerely,
UPS

Tracking results provided by UPS: 09/14/2012 1:24 P.M. ET

13092682103

DECLARATION OF JODI WINSHIP

1. I am the Chief of the Compliance Branch for the Reports Analysis Division of the Federal Election Commission ("Commission"). In my capacity as Chief of the Compliance Branch, I oversee the initial processing of the Administrative Fine Program. I make this declaration based on my personal knowledge and, if called upon as a witness, could and would testify competently to the following matters.
2. I hereby certify that documents identified herein are true and accurate copies of the following sent by the Commission to Friends of Chris Garner:
 - A) Prior Notice, dated June 21, 2012, referencing the 2012 July Quarterly Report (sent via electronic mail to: chrisgarner@garnerforsenate.com);
 - B) Non-Filer Letter, dated July 31, 2012, referencing the 2012 July Quarterly Report;
 - C) Reason-to-Believe Letter, dated September 13, 2012 referencing the 2012 July Quarterly Report.
3. I hereby certify that I have searched the Commission's public records and find that Friends of Chris Garner has not yet filed the 2012 July Quarterly Report with the Commission.
4. Pursuant to 28 U.S.C. 1746, I declare under penalty of perjury that the foregoing is true and correct and that all relevant telecoms for the matter have been provided. This declaration was executed at Washington, D.C. on the 2nd day of October, 2012.



Jodi Winship
Chief, Compliance Branch
Reports Analysis Division
Federal Election Commission

13092682104



JULY QUARTERLY REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES
PARTIES AND PACS

June 21, 2012

CURRENT REPORT DUE

| REPORT | CLOSE OF BOOKS ¹ | REG./CERT. & OVERNIGHT MAILING DEADLINE | FILING DEADLINE |
|----------------|-----------------------------|---|-----------------------|
| July Quarterly | 06/30/12 | 07/15/12 | 07/15/12 ² |

REPORTING SCHEDULE FOR REMAINDER OF 2012

| REPORT | CLOSE OF BOOKS ¹ | REG./CERT. & OVERNIGHT MAILING DEADLINE | FILING DEADLINE |
|--------------------------|-----------------------------|---|-----------------|
| October Quarterly | 09/30/12 | 10/15/12 | 10/15/12 |
| Pre-General ³ | 10/17/12 | 10/22/12 | 10/25/12 |
| Post-General | 11/26/12 | 12/06/12 | 12/06/12 |
| Year-End | 12/31/12 | 01/31/13 | 01/31/13 |

Supplemental Filing Information is available:

- Congressional Committees
- Parties and PACs

¹ A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered up through the close of books for the first report due.

² Notice that this filing date falls on a weekend. Filing deadlines are not extended when they fall on nonworking days. Accordingly, reports filed by methods other than Registered, Certified or Overnight Mail, or electronically, must be received before the Commission's (or for committees supporting only Senate candidates, the Secretary of Senate's) close of business on the last business day before the deadline.

³ **Parties and PACs:** required only if committee makes contributions or expenditures in connection with the general election during the reporting period. 11 CFR 104.5(c)(1)(ii).

Congressional Committees: the principal campaign committee of a candidate who participates in the general election must file pre-and post-general election reports. 11 CFR 104.5(a)(2).

2012 SUPPLEMENTAL FILING INFORMATION CONGRESSIONAL COMMITTEES

PLEASE NOTE: The Commission provides reminders of upcoming filing dates as a courtesy to help committees comply with the filing deadlines set forth in the Act and Commission regulations. Committee treasurers must comply with all applicable filing deadlines established by law, and the lack of prior notice does not constitute an excuse for failing to comply with any filing deadline.

WHO MUST FILE

Principal campaign committees of congressional candidates ¹ (including unopposed candidates and candidates whose names do not appear on the ballot) must file Quarterly Reports in 2012. ²

Campaigns that raise or spend more than \$5,000 for the 2012 election cycle (and thus trigger registration and reporting requirements) must file quarterly reports throughout 2012, even if the candidate plans to retire, withdraws from the race prior to the primary election, loses the primary or drops out of the race prior to the general election. See 11 CFR 104.5(a)(2).

Before a committee can stop filing with the FEC, it must file a termination report with the Commission. See 11 CFR 102.3. Committees must continue to file reports until the Commission notifies them in writing that their termination report has been accepted.

METHODS OF FILING REPORTS

Electronic Filing

Reports filed electronically must be received and validated by the Commission by 11:59 p.m. Eastern Time on the filing deadline. See 11 CFR 104.5(e). See also 11 CFR 104.18 and 100.19.

- Web Page: Electronic Filing Page
- Campaign Guide for Congressional Candidates and Committees (Candidate Guide), pp. 83-86 [PDF]

Paper Filing - Meeting the Filing Deadline

Paper Report filing options -- Registered, Certified or Overnight or First Class Mail.

See 11 CFR 104.5(e). See also 11 CFR 100.19.

- Web Page: Link to Paper Forms (for downloading and printing)
- Candidate Guide, p. 82 [PDF]

¹ Generally, an individual becomes a candidate for federal office (and thus triggers registration and reporting obligations) when his or her campaign exceeds \$5,000 in either contributions or expenditures. If the campaign has not crossed the \$5,000 threshold, it is not required to file reports. See 11 CFR 100.3(a). See also 11 CFR 104.5(a).

² If a candidate has more than one authorized committee, the principal campaign committee files a consolidated report on Form 3Z [PDF]. See 11 CFR 104.3(f).

PRE- AND POST-ELECTION REPORTS

The principal campaign committee of any candidate participating in a 2012 state primary, nominating convention or runoff election -- even if unopposed -- must also file a pre-election report 12 days prior to the primary, nominating convention or runoff. The principal campaign committee of a candidate who participates in the general election must file pre- and post-general election reports. See 11 CFR 104.5(a)(2).

- Web Page: 2012 Congressional Pre-Primary Reporting Dates
- The Record:
 - FEC Record Blog: Reporting
 - January 2012 issue [PDF]
- Candidate Guide, pp. 81-82 [PDF]

48 HOUR NOTICES OF CONTRIBUTIONS

The principal campaign committee must file notices if any authorized committees receive any contribution (including in-kind gifts or advances of goods or services; loans from the candidate or other non-bank sources; and guarantees or endorsements of bank loans to the candidate or committee) of \$1,000 or more per source, during the period less than 20 days but more than 48 hours before any election in which the candidate is running. See 11 CFR 104.5(f).

The notices must reach the appropriate federal filing office within 48 hours of the committee's receipt of the contribution(s). Campaign committees that file electronically **MUST** submit their 48-hour notices electronically. See 11 CFR 104.5(f).

- Web Page: Electronic Filing Page
- Web Page: Link to Paper Forms (for downloading and printing)
- Web Page: Link to Web Form 6 (for online submission)
- Form 6 Fax numbers
 - Senate campaigns (Secretary of the Senate): (202) 224-1851
 - House Campaigns (FEC): (202) 219-0174
- Campaign Guide: Candidate Guide, p. 81 [PDF]

2012 REPORTING SCHEDULE

- Web Page: 2012 Reporting Dates Page
- The Record:
 - FEC Record Blog: Reporting
 - January 2012 issue [PDF]
- Candidate Guide, p. 83 [PDF]

COMPLIANCE

Treasurer Responsibility

Committee Treasurers are responsible for both the timeliness and the accuracy of all reports. They may be subject to monetary penalties if reports are inaccurate or are not filed on time. See 11 CFR 104.14(d).

- Statement of Policy Regarding Treasurers Subject to Enforcement Proceedings [PDF]
- Candidate Guide, p. 7-9 [PDF]

Administrative Fine Program

Political committees and their treasurers who fail to file their reports on time may be subject to civil money penalties up to \$17,600 (or more for repeat late- and non-filers).³ See generally, 11 CFR Part 111 Subpart B. See also 11 CFR 111.43.

- Web Page: Administrative Fine Program
- Candidate Guide, pp. 82-83 [PDF]

DISCLOSURE OF LOBBYIST BUNDLING ACTIVITY

Campaign committees must file FEC Form 3L [PDF] if they receive two or more bundled contributions from lobbyists/registrants or lobbyist/registrant PACs that aggregate in excess of \$16,700 during the applicable reporting period (see page 1 of this notice). See 11 CFR 104.22 and 11 CFR 110.17(f).

- The Record: March 2009 issue [PDF]
- Candidate Guide, Appendix F, pp. 155-161 [PDF]

IMPORTANT FILING INFORMATION - PAPER FILERS

In response to the 2001 anthrax threat, the U.S. Postal Service is irradiating mail directed to many federal agencies, including the FEC and the Secretary of the Senate.⁴ This process has not only delayed mail delivery, it has also damaged and in some cases destroyed pieces of mail. As a result, committees that file reports with the FEC may want to consider submitting their reports by some means other than U.S. mail. Alternative methods include electronic filing, overnight mail or delivery service, and hand delivery.

³ Penalties for late- or non-filing of 48-hour notices are based on the amount of contributions not timely disclosed. As a result, these penalties may exceed \$17,600, even for first-time violations.

⁴ Senate committees should contact the Secretary of the Senate at (202) 224-0322 for more information on filing reports via US mail.

FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100

2012 SUPPLEMENTAL FILING INFORMATION PACs AND PARTY COMMITTEES

PLEASE NOTE: The Commission provides reminders of upcoming filing dates as a courtesy to help committees comply with the filing deadlines set forth in the Act and Commission regulations. Committee treasurers must comply with all applicable filing deadlines established by law, and the lack of prior notice does not constitute an excuse for failing to comply with any filing deadline.

WHO MUST FILE

All Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file either quarterly or monthly reports in 2012. See 11 CFR 104.5(c). Before a committee can stop filing with the FEC, it must file a termination report with the Commission. See 11 CFR 102.3. Committees must continue to file reports until the Commission notifies them in writing that their termination report has been accepted.

METHODS OF FILING REPORTS

Electronic Filing

Reports filed electronically must be received and validated by the Commission by 11:59 p.m. Eastern Time on the filing deadline. See 11 CFR 104.5(e). See also 11 CFR 104.18 and 100.19.

- Web Page: Electronic Filing Page
- Campaign Guide: Nonconnected Committees (Nonconnected), pp. 51-53 [PDF]; Corporations and Labor Organizations (SSF), pp. 49-51 [PDF]; Political Party Committees (Party), pp. 69-71 [PDF].

Paper Filing -- Meeting the Filing Deadline

Paper report filing options -- Registered, Certified or Overnight or First Class Mail. See 11 CFR 104.5(e). See also 11 CFR 100.19.

- Web Page: Link to Paper Forms (for downloading and printing)
- Campaign Guide: Nonconnected, pp. 47-48 [PDF]; SSF, pp. 45-46 [PDF]; Party, p. 65 [PDF].

CHANGE IN FILING FREQUENCY

Committees able to change their reporting schedule (for example, from monthly to quarterly) who wish to do so must notify the Commission in writing and may change their filing frequency no more than once per calendar year. See 11 CFR 104.5(c).

- Web Page: Filing Frequency by Type of Committee
- Campaign Guide: Nonconnected, p. 51 [PDF]; SSF, p. 49 [PDF]; Party, p. 67 [PDF].

2012 REPORTING SCHEDULE

- Web Page: 2012 Reporting Dates Page
- The Record:
 - FEC Record Blog: Reporting
 - January 2012 issue [PDF]
- Campaign Guide: Nonconnected, pp. 49-51 [PDF]; SSF, pp. 46-48 [PDF]; Party, pp. 67-68 [PDF].

PRE-ELECTION REPORTING

Quarterly filing committees that make contributions or expenditures (including independent expenditures) in connection with an election must also file a Pre-Election Report, if the activity was not previously reported. See 11 CFR 104.5(c)(1)(ii).

- Web Page: 2012 Congressional Pre-Primary Reporting Dates Page
- The Record:
 - FEC Record Blog: Reporting
 - January 2012 issue [PDF]
- Campaign Guide: Nonconnected, pp. 49-51 [PDF]; SSF, pp. 46-48 [PDF]; Party, pp. 67-68 [PDF].

COMPLIANCE

Treasurer Responsibility

Committee treasurers are responsible for both the timeliness and the accuracy of all reports. They may be subject to monetary penalties if reports are inaccurate or are not filed on time. See 11 CFR 104.14(d).

- Statement of Policy Regarding Treasurers Subject to Enforcement Proceeding [PDF]
- Campaign Guide: Nonconnected, pp. 3-4 [PDF]; SSF, pp. 1-2 [PDF]; Party, pp. 6-7 [PDF].

Administrative Fine Program

Failure to file reports on time may be subject to civil money penalties up to \$17,600 (or more for repeat late- or non-filers). See 11 CFR 111.43(b). See generally, 11 CFR Part 111 Subpart B. See also 11 CFR 111.43.

- Web Page: Administrative Fine Program Page
- Campaign Guide: Nonconnected, pp. 48-49 [PDF]; SSF, p. 46 [PDF]; Party, p. 66 [PDF].

DISCLOSURE OF LOBBYIST BUNDLING ACTIVITY

Party committees and Leadership PACs must file FEC Form 3L [PDF] if they receive two or more bundled contributions from lobbyists/registrants or lobbyist/registrant PACs that aggregate in excess of \$16,700 during the applicable reporting period (see page 1 of this notice). See 11 CFR 104.22 and 11 CFR 110.17(f).

- The Record: March 2009 issue [PDF]

48- AND 24-HOUR REPORTS OF INDEPENDENT EXPENDITURES

Any PAC or Party Committee that makes independent expenditures in 2012 may have to disclose this activity within 48- or 24-hours based upon the date and amount of the expenditure.

See 11 CFR 104.4(b)(2) and (c). See generally, 11 CFR 104.4.

- Web Page: State-by-state chart of 2012 48- and 24-hour periods for independent expenditures
- Campaign Guide: Nonconnected, pp. 72-74 [PDF]; SSF, pp. 65-67 [PDF]; Party, pp. 87-89 [PDF].

These reports are not required when a PAC or Party Committee makes a contribution directly to a candidate.

FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

July 31, 2012

RQ-7

BARBARA S SMALL, TREASURER
FRIENDS OF CHRIS GARNER
550M RITCHIE HWY #123
SEVEIRIA PARK, MD 211462953

IDENTIFICATION NUMBER: C00505628

REFERENCE: JULY QUARTERLY REPORT 4/1/2012 - 6/30/2012

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C. 20463 FOR HOUSE CANDIDATES, OR THE SECRETARY OF THE SENATE, 232 HART SENATE OFFICE BUILDING, WASHINGTON, D.C. 20510 (MAILING ADDRESS: OFFICE OF PUBLIC RECORDS, P.O. BOX 77578, WASHINGTON, DC 20013-7578), FOR SENATE CANDIDATES. PLEASE NOTE THAT ELECTRONIC FILERS MUST SUBMIT THEIR REPORTS ELECTRONICALLY, AS PER 11 CFR §104.18. A COPY OF THE REPORT MUST ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER UNLESS THE STATE IS EXEMPT FROM THE FEDERAL REQUIREMENT TO RECEIVE AND MAINTAIN PAPER COPIES. YOU CAN VERIFY THE COMMISSION'S RECEIPT OF ANY DOCUMENTS SUBMITTED BY YOUR COMMITTEE ON THE FEC WEBSITE AT WWW.FEC.GOV.

THE FAILURE TO TIMELY FILE THIS REPORT MAY RESULT IN CIVIL MONEY PENALTIES, AN AUDIT OR LEGAL ENFORCEMENT ACTION. THE CIVIL MONEY PENALTY CALCULATION FOR LATE REPORTS DOES NOT INCLUDE A GRACE PERIOD AND BEGINS ON THE DAY FOLLOWING THE DUE DATE FOR THE REPORT. DUE TO HEIGHTENED SECURITY SCREENING MEASURES, DELIVERY OF MAIL BY THE US POSTAL SERVICE MAY BE DELAYED. THE COMMISSION RECOMMENDS THAT YOU SUBMIT YOUR REPORT VIA OVERNIGHT DELIVERY OR COURIER SERVICE.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT MARLENE GOLUCCI AT OUR TOLL FREE NUMBER (800)424-9530. OUR DIRECT LOCAL NUMBER IS (202)694-1130.

SINCERELY,

Debbie Chacona

DEBBIE CHACONA
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION (RAD)

13092682111
12030870888

FEC OFFICE OF ADMIN REVIEW

2012 OCT 24 PM 2:43

Friends of Chris Garner for Senate

465 Century Vista Drive

Arnold, MD 21012

October 17, 2012

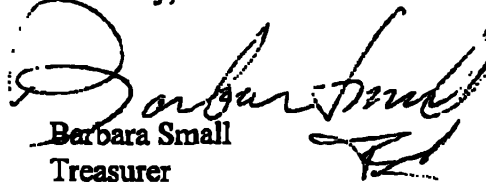
Dayna C. Brown
Reviewing Officer
Office of Administrative Review
Federal Elections Commission
999 'E' Street, N. W.
Washington, DC 204693

Dear Mr. Brown:

Enclosed are the Committee Reports for the period 4/1/2012-6/30/2012. There was no activity in this period, as we filed a termination report as of 3/31/2012.

If any additional information is necessary, please let me know.

Sincerely,


Barbara Small
Treasurer

Encls. as noted

13092682112

FEC
FORM 3REPORT OF RECEIPTS
AND DISBURSEMENTS

For An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)

TYPE OR PRINT ▼

Example: If typing, type
over the lines.

12FE4M5

FRIENDS OF CHRIS GARNER FOR SENATE

ADDRESS (number and street)

465 CENTURY VISTA DR.

Check if different
than previously
reported. (ACC)

ANNOD

MA

319121

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

STATE ▼ DISTRICT

C 00505628

3. IS THIS
REPORTNEW
(N)

OR

AMENDED
(A)

MA

C1

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report (Q1)

☒ July 15 Quarterly Report (Q2)

October 15 Quarterly Report (Q3)

January 31 Year-End Report (YE)

☒ Termination Report (TER)

See 3/31/2012

(b) 12-Day PRE-Election Report for the:

Primary (12P)

General (12G)

Runoff (12R)

Convention (12C)

Special (12S)

Election on

In the
State of

(c) 30-Day POST-Election Report for the:

General (30G)

Runoff (30R)

Special (30S)

Election on

In the
State of

5. Covering Period

09/01/2012

through

06/30/2012

To End

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

BARBARA SMALL

Signature of Treasurer

Date

10/17/2012

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
OnlyFEC FORM 3
(Revised 02/2003)

SUMMARY PAGE

of Receipts and Disbursements

FEC Form 3 (Revised 02/2003)

Page 2

Write or Type Committee Name

FRANK OF CHAIR GUNNED FOR SENATE

Report Covering the Period:

From:

04/01/2012

To:

08/30/2012

| | COLUMN A This Period | COLUMN B Election Cycle-to-Date |
|--|-------------------------|------------------------------------|
| 6. Net Contributions (other than loans) | | |
| (a) Total Contributions (other than loans) (from Line 11(e)) | 0 | |
| (b) Total Contribution Refunds (from Line 20(d)) | 0 | |
| (c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a)) | 0 | |
| 7. Net Operating Expenditures | | |
| (a) Total Operating Expenditures (from Line 17) | 0 | |
| (b) Total Offsets to Operating Expenditures (from Line 14) | 0 | |
| (c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a)) | 0 | |
| 8. Cash on Hand at Close of Reporting Period (from Line 27) | 0 | |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | 0 | |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | 0 | |

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3 (Revised 12/2003)

Page 3

Write or Type Committee Name

Friends of Chris Gardner for Senate

Report Covering the Period:

From:

09' 01' 2012

To:

06' 30' 2012

I. RECEIPTS

COLUMN A
Total This Period

COLUMN B
Election Cycle-to-Date

11. CONTRIBUTIONS (other than loans) FROM:

- (a) Individuals/Persons Other Than Political Committees
(i) Itemized (use Schedule A)
(ii) Unitemized
(iii) TOTAL of contributions from individuals ▶
- (b) Political Party Committees.....
(c) Other Political Committees (such as PACs).....
(d) The Candidate.....
(e) TOTAL CONTRIBUTIONS (other than loans) (add Lines 11(a)(iii), (b), (c), and (d))..

0
0
0
0
0
0

0
0
0
0
0
0

12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES

0

0

13. LOANS:

- (a) Made or Guaranteed by the Candidate.....
(b) All Other Loans.....
(c) TOTAL LOANS (add Lines 13(a) and (b)).....

0
0
0

0
0
0

14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)

0

0

15. OTHER RECEIPTS (Dividends, Interest, etc.)

0

0

16. TOTAL RECEIPTS (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)

0

0

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3 (Revised 02/2003)

Page 4

II. DISBURSEMENTS**COLUMN A**
Total This Period**COLUMN B**
Election Cycle-to-Date

17. OPERATING EXPENDITURES.....

18. TRANSFERS TO OTHER
AUTHORIZED COMMITTEES.....

19. LOAN REPAYMENTS:

(a) Of Loans Made or Guaranteed
by the Candidate.....

(b) Of All Other Loans.....

(c) TOTAL LOAN REPAYMENTS
(add Lines 19(a) and (b)).....

20. REFUNDS OF CONTRIBUTIONS TO:

(a) Individuals/Persons Other
Than Political Committees.....

(b) Political Party Committees.....

(c) Other Political Committees
(such as PACs).....(d) TOTAL CONTRIBUTION REFUNDS
(add Lines 20(a), (b), and (c)).....

21. OTHER DISBURSEMENTS.....

22. TOTAL DISBURSEMENTS
(add Lines 17, 18, 19(c), 20(d), and 21) ►**III. CASH SUMMARY**

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD.....

24. TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3).....

25. SUBTOTAL (add Line 23 and Line 24).....

26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22).....

27. CASH ON HAND AT CLOSE OF REPORTING PERIOD
(subtract Line 26 from Line 25).....

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

 FOR LINE NUMBER:
 (check only one)

PAGE OF

☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

FRIENDS OF CHRIS GARNER FOR SENATE

Full Name (Last, First, Middle Initial)

A.

Mailing Address

NONE

City

State

Zip Code

 FEC ID number of contributing
 federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Election Cycle-to-Date

Date of Receipt

10/16/12

Amount of Each Receipt this Period

0.00

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City

State

Zip Code

 FEC ID number of contributing
 federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Election Cycle-to-Date

Date of Receipt

10/16/12

Amount of Each Receipt this Period

0.00

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

 FEC ID number of contributing
 federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Election Cycle-to-Date

Date of Receipt

10/16/12

Amount of Each Receipt this Period

0.00

SUBTOTAL of Receipts This Page (optional)

TOTAL This Period (last page this line number only)

13092682117

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

 FOR LINE NUMBER:
 (check only one)

PAGE OF

| | | | |
|------------------------------|------------------------------|------------------------------|------------------------------|
| <input type="checkbox"/> 17 | <input type="checkbox"/> 18 | <input type="checkbox"/> 19a | <input type="checkbox"/> 19b |
| <input type="checkbox"/> 20a | <input type="checkbox"/> 20b | <input type="checkbox"/> 20c | <input type="checkbox"/> 21 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

FRIENDS OF CHRIS GARNER FOR SENATE

Full Name (Last, First, Middle Initial)

A.

Date of Disbursement

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

 Category/
Type

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For:

☐ Primary ☐ General
☐ Other (specify)

State: District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

 Category/
Type

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For:

☐ Primary ☐ General
☐ Other (specify)

State: District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

 Category/
Type

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For:

☐ Primary ☐ General
☐ Other (specify)

State: District:

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

SCHEDULE C (FEC Form 3)

PAGE OF

LOANSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)☒ 13a
☐ 13b

NAME OF COMMITTEE (In Full)

FRIENDS OF CHRIS GARNER FOR SENATE

LOAN SOURCE Full Name (Last, First, Middle Initial)

Election:

☐ Primary☐ General☐ Other (specify) ☐

Mailing Address

NONE

City

State

ZIP Code

Original Amount of Loan

Cumulative Payment To Date

Balance Outstanding at Close of This Period

TERMS

Date Incurred

Date Due

Interest Rate

Secured:

MM/DD/YYYY MM/DD/YYYY % (apr)

☐ Yes ☐ No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

2. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

3. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

4. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

SUBTOTALS This Period This Page (optional)..... ➤

TOTALS This Period (last page in this line only)..... ➤

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

SCHEDULE C-1 (FEC Form 3)**LOANS AND LINES OF CREDIT FROM LENDING INSTITUTIONS**
 Supplementary for
 information found on
 Page ____ of Schedule C

Federal Election Commission, Washington, D.C. 20463

| | | | |
|--|--|---|--|
| NAME OF COMMITTEE (in Full) <div style="font-size: 1.2em; font-family: cursive;">FRIENDS OF CHRIS GARNER FOR SENATE</div> | | FEC IDENTIFICATION NUMBER <div style="font-size: 1.2em; font-family: cursive;">C00505628</div> | |
| LENDING INSTITUTION (LENDER) Full Name <div style="font-size: 1.5em; font-family: cursive;">None</div> | | Amount of Loan <div style="border: 1px solid black; height: 20px;"></div> | |
| Mailing Address <div style="font-size: 1.5em; font-family: cursive;">None</div> | | Interest Rate (APR) <div style="border: 1px solid black; height: 20px;"></div> % | |
| City _____ State _____ Zip Code _____ | | Date Incurred or Established <div style="border: 1px solid black; height: 20px;"></div> | |
| Date Due <div style="border: 1px solid black; height: 20px;"></div> | | <div style="border: 1px solid black; height: 20px;"></div> | |

A. Has loan been restructured? ☐ No ☐ Yes If yes, date originally incurred

B. If line of credit, Total Outstanding Balance:

C. Are other parties secondarily liable for the debt incurred?
☐ No ☐ Yes (Endorsers and guarantors must be reported on Schedule C.)

D. Are any of the following pledged as collateral for the loan: real estate, personal property, goods, negotiable instruments, certificates of deposit, chattel papers, stocks, accounts receivable, cash on deposit, or other similar traditional collateral?
☐ No ☐ Yes If yes, specify: _____

What is the value of this collateral?

Does the lender have a perfected security interest in it? ☐ No ☐ Yes

E. Are any future contributions or future receipts of interest income, pledged as collateral for the loan? ☐ No ☐ Yes If yes, specify: _____

What is the estimated value?

A depository account must be established pursuant to 11 CFR 100.82(e)(2) and 100.142(a)(2). Location of account: _____

Date account established: Address: _____

City, State, Zip: _____

F. If neither of the types of collateral described above was pledged for this loan, or if the amount pledged does not equal or exceed the loan amount, state the basis upon which this loan was made and the basis on which it assures repayment.

G. COMMITTEE TREASURER

| | |
|------------|---|
| Typed Name | DATE <div style="border: 1px solid black; width: 80px; height: 20px;"></div> |
| Signature | |

H. Attach a signed copy of the loan agreement.

I. TO BE SIGNED BY THE LENDING INSTITUTION:

- I. To the best of this institution's knowledge, the terms of the loan and other information regarding the extension of the loan are accurate as stated above.
- II. The loan was made on terms and conditions (including interest rate) no more favorable at the time than those imposed for similar extensions of credit to other borrowers of comparable credit worthiness.
- III. This institution is aware of the requirement that a loan must be made on a basis which assures repayment, and has complied with the requirements set forth at 11 CFR 100.82 and 100.142 in making this loan.

| | | |
|---------------------------|-------|---|
| AUTHORIZED REPRESENTATIVE | | DATE <div style="border: 1px solid black; width: 80px; height: 20px;"></div> |
| Typed Name | Title | |
| Signature | | |

SCHEDULE D (FEC Form 3)**DEBTS AND OBLIGATIONS****Excluding Loans**(Use separate
schedule(s)
for each
numbered line)

PAGE OF

FOR LINE NUMBER:
(check only one)9
10

NAME OF COMMITTEE (in Full)

FRIENDS OF CHRIS GRANN FOR SENATE

A. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

City

State

Zip Code

NONE

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

B. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

City

State

Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

C. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

City

State

Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

1) SUBTOTALS This Period This Page (optional) ▶

2) TOTALS This Period (last page this line number only) ▶

3) TOTAL OUTSTANDING LOANS from Schedule C (last page only) ▶

4) ADD 2) and 3) and carry forward to appropriate line of Summary Page (last page only) ▶

13092682121

FEC FORM 3Z (File with Form 3)

CONSOLIDATION REPORT OF RECEIPTS AND DISBURSEMENTS

(To Be Used By A Principal Campaign Committee)

| | | | | | | |
|---|---|--|--|--|---|---|
| Name of Principal Campaign Committee (in Full) <i>FRIENDS OF CHRIS GARNER FOR SENATE</i> | | Report Covering Period: From: <i>04/01/2012</i> To: <i>06/30/2012</i> | | | | |
| Committee Name | | (a) Line No. 11(a) Total Contributions From Indiv./Persons Other Than Political Committees | (b) Line No. 11(b) Total Contributions From Political Party Committees | | | |
| A | <i>FRIENDS OF CHRIS GARNER FOR SENATE</i> | 0 | 0 | | | |
| B | Column Total Last Page Only..... | 0 | 0 | | | |
| | (c) Line No. 11(c) Total Contributions From Other Political Committees | (d) Line No. 11(d) Total Contributions From The Candidate | (e) Line No. 11(e) Total Contributions | (f) Line No. 12 Total Transfers From Other Authorized Committees | (g) Line No. 13(a) Total Loans Made or Guaranteed by the Candidate | (h) Line No. 13(b) Total All Other Loans |
| A | 0 | 0 | 0 | 0 | 0 | 0 |
| B | 0 | 0 | 0 | 0 | 0 | 0 |
| | (i) Line No. 13(c) Total Loans | (j) Line No. 14 Total Offsets to Operating Expenditures | (k) Line No. 15 Total Other Receipts | (l) Line No. 16 Total Receipts | (m) Line No. 17 Total Operating Expenditures | (n) Line No. 18 Total Transfers to Other Authorized Committees |
| A | 0 | 0 | 0 | 0 | 0 | 0 |
| B | 0 | 0 | 0 | 0 | 0 | 0 |
| | (o) Line No. 19(a) Total Loan Repayments of Loans Made or Guaranteed by The Candidate | (p) Line No. 19(b) Total Loan Repayments of All Other Loans | (q) Line No. 19(c) Total Loan Repayments | (r) Line No. 20(a) Total Contribution Refunds to Individuals/Persons | (s) Line No. 20(b) Total Contribution Refunds to Political Party Committees | (t) Line No. 20(c) Total Contribution Refunds to Other Political Committees |
| A | 0 | 0 | 0 | 0 | 0 | 0 |
| B | 0 | 0 | 0 | 0 | 0 | 0 |
| | (u) Line No. 20(d) Total Contribution Refunds | (v) Line No. 21 Total Other Disbursements | (w) Line No. 22 Total Disbursements | (x) Line No. 23 Cash on Hand Beginning of Reporting Period | (y) Line No. 27 Cash on Hand Close of Reporting Period | (z) Line No. 9 Debts & Obligations Owed TO the Committee |
| A | 0 | 0 | 0 | 0 | 0 | 0 |
| B | 0 | 0 | 0 | 0 | 0 | 0 |
| | (aa) Line No. 10 Debts & Obligations Owed BY the Committee | (bb) Line No. 6(c) Net Contributions | (cc) Line No. 7(c) Net Operating Expenditures | | | |
| A | 0 | 0 | 0 | | | |
| B | 0 | 0 | 0 | | | |

13092682122



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2012 NOV -5 PM 2: 25

November 5, 2012

MEMORANDUM

SENSITIVE

To: The Commission

Through: Alec Palmer *AP*
Staff Director

From: Patricia C. Orrock *PCO*
Chief Compliance Officer

Dayna C. Brown *DCB*
Reviewing Officer
Office of Administrative Review

Subject: Reviewing Officer Recommendation in AF# 2543 – Friends of Chris Garner and Barbara S. Small, in official capacity as Treasurer, (C00505628)

The attached Reviewing Officer Recommendation is being circulated on an informational basis. A copy was also sent to the respondents in accordance with 11 C.F.R. § 111.36(f). The respondents may file with the Commission Secretary a written response within 10 days of transmittal of the recommendation.

After the 10 day period, the Reviewing Officer Recommendation and the respondents' written response, if any, will be circulated to the Commission to make a final determination.

Please note that whereas the respondents were unable to terminate at the time the reason-to-believe finding was made due to missing information related to previously disclosed loans, the Reports Analyst Division has advised that they have resolved these issues. The respondents are now eligible to terminate upon the conclusion of this administrative fine matter.

Attachment

13092682123



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 5, 2012

**REVIEWING OFFICER RECOMMENDATION
OFFICE OF ADMINISTRATIVE REVIEW ("OAR")**

AF# 2543- Friends of Chris Gaer and Barbara S. Small, in official capacity as Treasurer
(C00505628)

Summary of Recommendation

Make a final determination that the respondents violated 2 U.S.C. § 434(a) and assess no civil money penalty.

Reason-to-Believe Background

On September 12, 2012, the Commission found reason to believe ("RTB") that the respondents violated 2 U.S.C. § 434(a) for failing to file the 2012 July Quarterly Report and made a preliminary determination that the civil money penalty was \$4,950 based on the schedule of penalties at 11 C.F.R. § 111.43. A letter, dated September 13, 2012, was mailed to the respondents' address of record by the Reports Analysis Division ("RAD") to notify them of the Commission's RTB finding and civil money penalty.

Legal Requirements

The Federal Election Campaign Act ("Act") states that the treasurer of a principal campaign committee of a candidate shall file a report for the period ending June 30 no later than July 15. 2 U.S.C. § 434(a)(4)(A)(i) and 11 C.F.R. § 104.5(a)(1)(i). All reports required to be filed by the principal campaign committee of a candidate for the office of U.S. Senator shall be filed with the Secretary of the Senate. 2 U.S.C. § 432(g)(1) and 11 C.F.R. § 105.2. Reports sent by certified or registered mail, Priority or Express Mail with delivery confirmation, or by an overnight delivery service with an on-line tracking system must be postmarked or deposited with the mailing service no later than July 15 to be timely filed. Reports sent by first class mail must be received by the close of business on the filing date to be timely filed. 2 U.S.C. § 434(a)(5), and 11 C.F.R. §§ 100.19(b) and 104.5(e). The treasurer shall be personally responsible for the timely filing of reports. 11 C.F.R. § 104.14(d).

Respondents' Challenge

On September 28, 2012, the Commission received the written response ("challenge") from the Treasurer stating that following her Candidate's primary loss, they filed the March 31 report checking that the report be the final termination report. She states that this report disclosed the Candidate lending \$59,942 of his personal funds to the Committee, with \$11,491 repaid to the Candidate. The Treasurer states that they collected only \$100 in campaign contributions, and there have been no additional contributions or expenditures since their final March 31 report. She asks that the penalty be abated based on these circumstances.

Included with the challenge is:

- RAD's Non-filer letter dated July 31, 2012

- A cover letter dated September 1, 2012 and Loan Forgiveness Letter dated August 31, 2012
- RAD's Request for Additional Information referencing their Amended Termination Report, emailed August 27, 2012
- A copy of their April Quarterly Termination Report, including a Loan Forgiveness Letter
- A copy of RAD's RTB Letter

Analysis

Although the respondents state that they indicated their April Quarterly Report would be their final report by checking the report's Termination box, a committee's filing obligation ends only when the Commission notifies them in writing that their termination report has been accepted. Until the Committee receives this notification, it must continue to file reports.

Commission records indicate that the respondents filed their April Quarterly Termination Report on April 13, 2012. As of June 21, the Committee's termination request had not yet been approved, therefore on this date the Commission emailed a Prior Notice for the 2012 July Quarterly Report to "chirsgarner@garnerforsenate.com," the email address listed on their Statement of Organization. Page 2 of this notice specifies that "Before a committee can stop filing with the FEC, it must file a termination report" and "continue to file reports until the Commission notifies them in writing that their termination report has been accepted." In addition to the Prior Notice, the Commission sent a non-filer notice to the respondents' address of record on July 31, 2012 informing them that they failed to file July Quarterly Report.

At the time of the RTB finding, the Commission used an estimated level of activity (\$120,085) to calculate the fine because the report had not yet been filed. 11 C.F.R. § 111.43(d)(2)(i). On October 25, 2012, the Commission received the respondents' July Quarterly Report which discloses \$0 in total receipts and \$0 in total disbursements. Since the schedule of penalties at 11 C.F.R. § 111.43(a) only provides for civil money penalties if the level of activity is \$1.00 or more, no civil money penalty should be assessed in this case.

Their challenge fails to address any of the three valid grounds at 11 C.F.R. § 111.35(b). These are: (i) the RTB finding is based on factual errors; and/or (ii) the improper calculation of the civil money penalty; and/or (iii) they used best efforts to file on time but were prevented from doing so by reasonably unforeseen circumstances that were beyond their control and they filed the report no later than 24 hours after the end of these circumstances. Therefore, the Reviewing Officer recommends that the Commission make a final determination that the respondents violated 2 U.S.C. § 434(a) and assess no civil money penalty.

OAR Recommendations

- (1) Adopt the Reviewing Officer recommendation for AF# 2543 involving Friends of Chris Garner and Barbara S. Small, in official capacity as Treasurer, in making the final determination;
- (2) Make a final determination in AF# 2543 that Friends of Chris Garner and Barbara S. Small, in official capacity as Treasurer, violated 2 U.S.C. § 434(a) and, based on the actual level of activity disclosed on the July Quarterly Report (\$0), assess no civil money penalty (reduced from the RTB civil money penalty of \$4,950); and
- (3) Send the appropriate letter.

13092682125

Reviewing Officer: Dayna C. Brown

Attachments

Attachment 1 – Challenge Received from Respondents

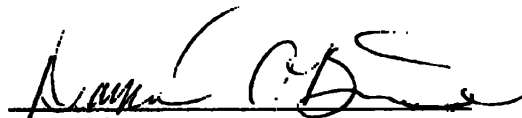
Attachment 2 – Declaration from RAD

Attachment 3 – Declaration from OAR

13092682126

DECLARATION OF DAYNA C. BROWN

1. I am the Reviewing Officer in the Office of Administrative Review for the Federal Election Commission ("Commission"). In my capacity as Reviewing Officer, I conduct research with respect to all challenges submitted in accordance with the Administrative Fine program.
2. The 2012 July Quarterly Report is due July 15, 2012. Senate principal campaign committees must file reports with the Secretary of the Senate. Reports sent by first class mail are considered filed on the date of receipt.
3. I hereby certify that I have searched the Commission's public records and that the documents identified herein are the true and accurate copies of:
 - a. Page 1 of the amended Statement of Organization filed by Friends of Chris Garner and Barbara S. Small, in official capacity as Treasurer. According to the Commission's records, the document was received on January 31, 2012 and lists "CHRISGARNER@GARNERFORSENATE.COM" as the Committee's email address;
 - b. Page 1 of the Summary Page and the Senate Office of Public Records postmark sheet for the 2012 April Quarterly Termination Report filed by Friends of Chris Garner for Senate and Barbara Small, in official capacity as Treasurer. According to the Commission's records, the report covers the period from January 1 through March 31, 2012, was sent on April 13, 2012 via Express Mail, and was received on April 18, 2012; and
 - c. Page 1 of the Summary Page, Pages 3 and 4 of the Detailed Summary Page, and the Senate Office of Public Records postmark sheet for the 2012 July Quarterly Report filed by Friends of Chris Garner for Senate and Barbara Small, in official capacity as Treasurer. According to the Commission's records, the report covers the period from April 1 through June 30, 2012, was sent on October 18 via first class mail, and received on October 25, 2012. Line 16, column A lists \$0.00 in total receipts for the period. Line 22, column A lists \$0.00 in total disbursements for the period.
4. Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct and that this declaration was executed at Washington on the 5th of November, 2012.



Dayna C. Brown
Reviewing Officer
Office of Administrative Review
Federal Election Commission

13092682127

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS

12 JAN 31 AM 10:54

FEC
FORM 1

STATEMENT OF
ORGANIZATION

Office Use Only

1. NAME OF
COMMITTEE (In full)



(Check if name
is changed)

Example: If typing, type
over the lines.

12FE4M5

FRIEND OF CHRIS GARNER

ADDRESS (number and street)

550M RITCHIE HWY #123



(Check if address
is changed)

SEVERNA PARK

MD

21146-12953

CITY

STATE

ZIP CODE

COMMITTEE'S E-MAIL ADDRESS (Please provide only one e-mail address)



(Check if address
is changed)

CHRISGARNER@GARNERFORSENATE.COM

COMMITTEE'S WEB PAGE ADDRESS (URL)



(Check if address
is changed)

WWW.GARNERFORSENATE.COM

2. DATE

01/26/2012

3. FEC IDENTIFICATION NUMBER

C00505628

4. IS THIS STATEMENT



NEW (N)

OR



AMENDED (A)

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

BARBARA S SMALL

Signature of Treasurer

Barbara S Small

Date

01/27/2012

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. §437g.

ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS.

Office
Use
Only

For further information contact:
Federal Election Commission
Toll Free 800-424-9530
Local 202-694-1100

FEC FORM 1
(Revised 02/2009)

13092682128

12020061412

FEC
FORM 3

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For An Authorized Committee

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS
12 APR 18 AM 11:25
Office Use Only

1. NAME OF
COMMITTEE (in full)

TYPE OR PRINT ▼

Example: If typing, type
over the lines.

12FE4M5

FRIENDS OF CHRIS GARNER FOR SENATE

ADDRESS (number and street)

1465 CENTURY VISTA DR.



Check if different
than previously
reported. (ACC)

ARNDOLA

MD

21012

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

STATE ▼ DISTRICT

C 00505628

3. IS THIS
REPORT



NEW
(N)

OR



AMENDED
(A)

MD

01

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:



April 15 Quarterly Report (Q1)



July 15 Quarterly Report (Q2)



October 15 Quarterly Report (Q3)



January 31 Year-End Report (YE)



Termination Report (TER) ★★

(b) 12-Day PRE-Election Report for the:



Primary (12P)



General (12G)



Runoff (12R)



Convention (12C)



Special (12S)

Election on

MM/DD/YYYY

In the
State of

MD

(c) 30-Day POST-Election Report for the:



General (30G)



Runoff (30R)



Special (30S)

Election on

MM/DD/YYYY

In the
State of

MD

5. Covering Period

01/01/2012

through

03/31/2012

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

BARBARA SMALL

Signature of Treasurer

Date

04/11/2012

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only

FEC FORM 3
(Revised 02/2003)

FESAN018

13092682129

12020321839

NANCY ERICKSON
SECRETARY

DANA K. MCCALLUM
SUPERINTENDENT

U.S. SENATE OFFICE BUILDING
SUITE 232
WASHINGTON, DC 20510-7118
PHONE: (202) 224-0322

United States Senate

OFFICE OF THE SECRETARY

OFFICE OF PUBLIC RECORDS

THE PRECEDING DOCUMENT WAS:

HAND DELIVERED _____

Date of Receipt

USPS FIRST CLASS MAIL _____

Postmark

USPS REGISTERED/CERTIFIED _____

Postmark

USPS PRIORITY MAIL _____

Postmark

DELIVERY CONFIRMATION OR SIGNATURE CONFIRMATION LABEL ☐

USPS EXPRESS MAIL _____

Postmark

04-13-12

OVERNIGHT DELIVERY SERVICE:

SHIPPING DATE

NEXT BUSINESS DAY DELIVERY

FEDERAL EXPRESS _____

☐

UPS _____

☐

DHL _____

☐

AIRBORNE EXPRESS _____

☐

RECEIVED FROM FEDERAL ELECTION COMMISSION _____

Date of Receipt

POSTMARK ILLEGIBLE ☐

NO POSTMARK ☐

FAX _____

Date of Receipt

OTHER _____

Date of Receipt or Postmark

PREPARER

RD

DATE PREPARED

04-18-12

13092682130

12020321858

FEC
FORM 3

REPORT OF RECEIPTS
AND DISBURSEMENTS
For An Authorized Committee

RECEIVED

2012 OCT 25 AM 11:43

1. NAME OF
COMMITTEE (in full)

TYPE OR PRINT ▼

Example: If typing, type
over the lines.

12FE4M5C MAIL CENTER

FRIENDS OF CHRIS GARNER FOR SENATE

ADDRESS (number and street)

465 CENTURY VISTA DR.



Check if different
than previously
reported. (ACC)

ARNOVA

MD

210121

2. FEC IDENTIFICATION NUMBER ▼

C 00505638

CITY ▲

STATE ▲

ZIP CODE ▲

STATE ▼ DISTRICT

3. IS THIS
REPORT



NEW
(N)

OR



AMENDED
(A)

MD

1011

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:



April 15 Quarterly Report (Q1)



July 15 Quarterly Report (Q2)



October 15 Quarterly Report (Q3)



January 31 Year-End Report (YE)



Termination Report (TER)

See 3/31/12 report

(b) 12-Day PRE-Election Report for the:



Primary (12P)



General (12G)



Runoff (12R)



Convention (12C)



Special (12S)

Election on



In the
State of



(c) 30-Day POST-Election Report for the:



General (30G)

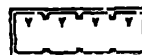


Runoff (30R)



Special (30S)

Election on



In the
State of



5. Covering Period

04

01

2012

through

06

30

2012

To END

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

BARBARA SMALL

Signature of Treasurer

[Signature]

Date

70

17

2012

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only

FEC FORM 3
(Revised 02/2003)

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3 (Revised 12/2003)

Page 3

Write or Type Committee Name

FRANCIS OF CHAR BARREN FOR SENATE

Report Covering the Period:

From:

04' 01' 2012

To:

06' 30' 2012

I. RECEIPTS

COLUMN A
Total This Period

COLUMN B
Election Cycle-to-Date

11. CONTRIBUTIONS (other than loans) FROM:

(a) Individuals/Persons Other Than
Political Committees

(i) Itemized (use Schedule A).....

(ii) Unitemized.....

(iii) TOTAL of contributions
from Individuals.....

(b) Political Party Committees.....

(c) Other Political Committees
(such as PACs).....

(d) The Candidate.....

(e) TOTAL CONTRIBUTIONS
(other than loans)
(add Lines 11(a)(iii), (b), (c), and (d))..

12. TRANSFERS FROM OTHER
AUTHORIZED COMMITTEES.....

13. LOANS:

(a) Made or Guaranteed by the
Candidate.....

(b) All Other Loans.....

(c) TOTAL LOANS
(add Lines 13(a) and (b)).....

14. OFFSETS TO OPERATING
EXPENDITURES
(Refunds, Rebates, etc.).....

15. OTHER RECEIPTS
(Dividends, Interest, etc.).....

16. TOTAL RECEIPTS (add Lines
11(e), 12, 13(c), 14, and 15)
(Carry Total to Line 24, page 4).....

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3 (Revised 02/2003)

Page 4

II. DISBURSEMENTS

COLUMN A
Total This Period

COLUMN B
Election Cycle-to-Date

17. OPERATING EXPENDITURES.....

18. TRANSFERS TO OTHER
AUTHORIZED COMMITTEES

19. LOAN REPAYMENTS:

(a) Of Loans Made or Guaranteed
by the Candidate.....

(b) Of All Other Loans

(c) TOTAL LOAN REPAYMENTS
(add Lines 19(a) and (b)).....

20. REFUNDS OF CONTRIBUTIONS TO:

(a) Individuals/Persons Other
Than Political Committees

(b) Political Party Committees.....

(c) Other Political Committees
(such as PACs)

(d) TOTAL CONTRIBUTION REFUNDS
(add Lines 20(a), (b), and (c)).....

21. OTHER DISBURSMENTS

22. TOTAL DISBURSEMENTS
(add Lines 17, 18, 19(c), 20(d), and 21) ►

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD.....

24. TOTAL RECEIPTS THIS PERIOD (from Line 18, page 3).....

25. SUBTOTAL (add Line 23 and Line 24).....

26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22).....

27. CASH ON HAND AT CLOSE OF REPORTING PERIOD
(subtract Line 26 from Line 25).....

NANCY ERICKSON
SECRETARY

DANA K. MCCALLUM
SUPERINTENDENT

HART SENATE OFFICE BUILDING
SUITE 232
WASHINGTON, DC 20510-7116
PHONE: (202) 224-0322

United States Senate

OFFICE OF THE SECRETARY

OFFICE OF PUBLIC RECORDS

THE PRECEDING DOCUMENT WAS:

HAND DELIVERED _____

USPS FIRST CLASS MAIL _____

Date of Receipt

Postmark

USPS REGISTERED/CERTIFIED _____

Postmark

USPS PRIORITY MAIL _____

Postmark

DELIVERY CONFIRMATION OR SIGNATURE CONFIRMATION LABEL ☐

USPS EXPRESS MAIL _____

Postmark

OVERNIGHT DELIVERY SERVICE:

SHIPPING DATE

NEXT BUSINESS DAY DELIVERY

FEDERAL EXPRESS _____

☐

UPS _____

☐

DHL _____

☐

AIRBORNE EXPRESS _____

☐

RECEIVED FROM FEDERAL ELECTION COMMISSION _____

Date of Receipt

POSTMARK ILLEGIBLE ☐

NO POSTMARK ☐

FAX _____

Date of Receipt

OTHER _____

Date of Receipt or Postmark

PREPARER



DATE PREPARED

10.25.12

13092682134

12021020633



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2012 NOV 29 AM 10: 55

November 28, 2012

MEMORANDUM

SENSITIVE

To: The Commission

Through: Alec Palmer *AP*
Staff Director

From: Patricia C. Orrock *PCO*
Chief Compliance Officer

Rhiannon Magruder *RM*
Acting Reviewing Officer
Office of Administrative Review

Subject: Final Determination Recommendation in AF# 2543 – Friends of Chris Garner and Barbara S. Small, in official capacity as Treasurer, (C00505628)

On September 12, 2012, the Commission found reason to believe ("RTB") that the respondents violated 2 U.S.C. § 434(a) for failing to file the 2012 July Quarterly Report and made a preliminary determination that the civil money penalty was \$4,950 based on the schedule of penalties at 11 C.F.R. § 111.43.

On September 28, 2012, the Commission received their written response ("challenge"). After reviewing the challenge, the Reviewing Officer's recommendation dated November 5, 2012 was forwarded to the Commission, a copy was forwarded to the respondents, and is hereby incorporated by reference. At the time of the RTB finding, the Commission used an estimated level of activity (\$120,085) to calculate the fine because the report had not yet been filed. 11 C.F.R. § 111.43(d)(2)(i). On October 25, 2012, the Commission received the respondents' July Quarterly Report which discloses \$0 in total receipts and \$0 in total disbursements. Since the schedule of penalties at 11 C.F.R. § 111.43(a) only provides for civil money penalties if the level of activity is \$1.00 or more, the Reviewing Officer recommended that the Commission assess no civil money penalty.

Within 10 days of transmittal of the recommendation, they may file a written response with the Commission Secretary which may not raise any arguments not raised in their challenge or not directly responsive to the Reviewing Officer's recommendation. 11 C.F.R. § 111.36(f). As of this date, a written response has not been received.

13092682135

OAR Recommendations

- (1) Adopt the Reviewing Officer recommendation for AF# 2543 involving Friends of Chris Garner and Barbara S. Small, in her official capacity as Treasurer, in making the final determination;
- (2) Make final determination in AF# 2543 that Friends of Chris Garner and Barbara S. Small, in her official capacity as Treasurer, violated 2 U.S.C. § 434(a) and, based on the actual level of activity, assess a civil penalty of \$0 (reduced from the RTB civil penalty of \$4,950); and
- (3) Send the appropriate letter.

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Final Determination Recommendation:) AF 2543
Friends of Chris Garner and Barbara S.)
Small, in official capacity as Treasurer)
(C00505628))

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on December 12, 2012, the Commission decided by a vote of 6-0 to take the following actions in AF 2543:

1. Adopt the Reviewing Officer recommendation for AF# 2543 involving Friends of Chris Garner and Barbara S. Small, in her official capacity as Treasurer, in making the final determination.
2. Make a final determination in AF# 2543 that Friends of Chris Garner and Barbara S. Small, in her official capacity as Treasurer, violated 2 U.S.C. § 434(a) and, based on the actual level of activity, assess a civil money penalty of \$0 (reduced from the RTB civil penalty of \$4,950).
3. Send the appropriate letter.

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

December 13, 2012
Date

Shawn Woodhead Werth
Shawn Woodhead Werth
Secretary and Clerk of the Commission

13092682137



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 19, 2012

VIA OVERNIGHT DELIVERY

Barbara S. Small, in official capacity as Treasurer
Friends of Chris Garner
550M Ritchie Hwy #123
Severna Park, MD 21146

C00505628
AF# 2543

Dear Ms. Small:

On September 12, 2012, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Friends of Chris Garner and you, in your official capacity as Treasurer, violated 2 U.S.C. § 434(a) for failing to file the 2012 July Quarterly Report. By letter dated September 13, 2012, the Commission sent notification of the RTB finding that included a civil money penalty calculated at RTB of \$4,950 in accordance with the schedule of penalties at 11 C.F.R. § 111.43. On September 28, 2012, the Office of Administrative Review received your written response, challenging the RTB finding.

The Reviewing Officer reviewed the Commission's RTB finding with its supporting documentation and the written response. Based on this review, the Reviewing Officer recommended that the Commission reduce the RTB civil money penalty because it was calculated using an estimated level of activity, make a final determination that Friends of Chris Garner and you, in your official capacity as Treasurer, violated 2 U.S.C. § 434(a) and, based on the actual level of activity disclosed on the 2012 July Quarterly Report filed October 25, 2012 (\$0), assess no civil money penalty in accordance with 11 C.F.R. § 111.43. The basis for the Reviewing Officer's recommendation was included in the Final Determination Report, a copy of which was sent to you on November 6, 2012.

On December 12, 2012, the Commission adopted the Reviewing Officer's recommendation and made a final determination that Friends of Chris Garner and you, in your official capacity as Treasurer, violated 2 U.S.C. § 434(a) and, based on the level of activity disclosed on the 2012 July Quarterly Report (\$0), assessed no civil money penalty (reduced from the RTB civil money penalty of \$4,950). It is based on the same factors used to calculate the civil money penalty at RTB except that the actual rather than the estimated level of activity was used. A copy of the final determination recommendation is attached.

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The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 C.F.R. § 111.42(b). Although the file must be placed on the public record within 30 days from the date of the Commission's notification, this could occur at any time following certification of the Commission's vote.

If you have any questions regarding this matter, please contact Rhiannon Magruder on our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,

A handwritten signature in black ink, appearing to read "Caroline C. Hunter".

Caroline C. Hunter
Chair

Attachment

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